

Usage Guideline

Corporate Action Instruction seev.033.001.14

DTCC - US - CA - Voluntary Reorg Instructions - SR 2026

This document describes a usage guideline restricting the base message **MX seev.033.001.14**. You can also consult [this information online](#).

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Message Functionality

Overview

Used to notify DTCC of an election. Elections cannot be modified only withdrawn and submitted again. Elections will be processed in accumulating manner, meaning if a an additional election is sent then it is added to elected balance.

Collection Description

Outline

The DTCC - US - CA - Voluntary Reorg Instructions - SR 2026 / Corporate Action Instruction seev.033.001.14 message is composed of 12 building blocks

- a. ChangeInstructionIndicator
Indicates that the current instruction is replacing a previous one that was cancelled earlier.
- b. CancelledInstructionIdentification
Identification of a previously sent cancelled instruction document.
- c. InstructionCancellationRequestIdentification
Identification of a previously sent instruction cancellation request document.
- d. OtherDocumentIdentification
Identification of other documents as well as the document number.
- e. EventsLinkage
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- f. CorporateActionGeneralInformation
General information about the corporate action event.
- g. AccountDetails
General information about the safekeeping account, owner and account balance.
- h. BeneficialOwnerDetails
Information about the beneficial owner of the securities.
- i. CorporateActionInstruction
Information about the corporate action instruction.
- j. ProtectInstruction
Provides detailed information on protect and cover protect instructions.
- k. AdditionalInformation
Provides additional information.
- l. SupplementaryData
Additional information that can not be captured in the structured fields and/or any other specific block.

1 Restriction summary

This Usage Guideline restricts the seev.033.001.14 message.

















Restriction Type	See page
Removed elements	11, 12, 12, 13, 13, 15, 17, 20, 21, 22, 22, 41, 42, 43, 48, 48, 49, 52, 53, 53, 54, 54, 54, 56, 56, 60, 60, 61, 62, 62, 63, 63, 71, 71, 72, 72, 72, 73, 73, 73, 73, 74, 74, 74, 75, 75, 75, 75, 76, 76, 77, 85, 85, 89, 89, 99, 104, 105, 106, 109, 109, 121, 121, 133, 134, 135, 136, 144, 145, 147, 147, 148, 148, 150, 154, 154, 155, 160, 160, 160, 161, 162, 162, 162, 163, 163, 163, 164, 165, 165, 165, 166, 166, 166, 166, 167, 167, 168, 168, 168, 169, 170, 170, 171, 171, 171, 173, 174, 174, 174, 174, 175, 175, 175, 176, 176, 176, 177, 177, 177, 177, 178, 178, 179, 179, 179, 187, 188, 188, 190, 192, 193, 193, 194, 194, 195, 197, 217, 217, 218, 218, 218
Make mandatory	17, 20
Reduce Multiplicity	17, 49, 147, 147
Ignore	n.a.
Text rules	11, 14, 17, 41, 41, 43, 44, 45, 45, 51, 51, 52, 55, 59, 81, 85, 91, 92, 94, 99, 99, 143, 144, 147, 194
Conditional rules	43, 46, 55
Formal rules	11, 11
Fixed values	98, 99
Truncates	n.a.
Comments	20, 43, 44, 45, 49, 51, 52, 55, 70, 98, 109, 109, 160, 161, 163, 164, 167, 169, 169, 170, 172, 172, 179, 188, 189, 190, 191, 191, 191, 192, 193, 195, 195, 196, 197
Annotations	n.a.
Changed datatypes	20, 21, 23, 25, 26, 26, 26, 26, 27, 27, 28, 39, 39, 43, 44, 47, 47, 47, 48, 48, 49, 49, 57, 65, 65, 67, 67, 78, 78, 78, 78, 78, 78, 78, 78, 78, 78, 78, 78, 78, 79, 79, 79, 79, 79, 79, 79, 79, 79, 79, 79, 79, 79, 80, 80, 80, 80, 80, 80, 80, 80, 80, 80, 80, 80, 80, 80, 81, 81, 81, 81, 81, 81, 81, 81, 81, 82, 82, 82, 82, 82, 82, 82, 82, 82, 82, 82, 82, 82, 83, 83, 83, 83, 83, 83, 83, 83, 83, 83,









Restriction Type	See page
	83, 83, 83, 84, 84, 84, 84, 84, 84, 84, 84, 84, 84, 84, 84, 84, 85, 85, 85, 85, 85, 85, 85, 86, 86, 86, 86, 86, 86, 86, 86, 86, 86, 86, 86, 86, 87, 87, 87, 87, 87, 87, 87, 87, 87, 87, 87, 87, 87, 88, 88, 88, 88, 88, 88, 88, 88, 88, 88, 88, 88, 88, 89, 89, 89, 89, 89, 89, 89, 91, 91, 91, 91, 92, 92, 92, 92, 92, 92, 92, 92, 92, 92, 92, 92, 93, 93, 93, 93, 93, 93, 93, 93, 93, 93, 93, 93, 93, 93, 94, 94, 94, 94, 95, 95, 95, 95, 96, 96, 96, 96, 97, 97, 98, 99, 99, 103, 103, 106, 106, 107, 108, 108, 108, 115, 121, 123, 124, 124, 125, 125, 125, 125, 125, 125, 125, 125, 125, 125, 125, 125, 126, 126, 126, 126, 126, 126, 126, 126, 127, 127, 127, 127, 127, 127, 127, 127, 127, 127, 128, 128, 128, 128, 128, 128, 128, 128, 128, 129, 129, 135, 135, 136, 137, 142, 145, 148, 148, 151
Extensions	n.a.
Synonyms	11, 14, 20, 43, 44, 45, 51, 52, 55, 57, 70, 108, 109, 109, 173, 175, 188, 188, 189, 189, 189, 189, 189, 189, 190, 191, 191, 191, 192, 192, 193, 194, 194, 195, 195, 196, 196, 196, 196, 197, 214

2 Structure

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>CorporateActionInstructionV14</i>	<CorpActnInstr>		[1..1]		11
	ChangeInstructionIndicator	<ChngInstrInd>		[0..1]		11
	CancelledInstructionIdentification	<CancInstrId>		[0..1]		12
	Identification	<Id>		[1..1]		64
	LinkageType	<LkgTp>		[0..1]		65
	InstructionCancellationRequestIdentification	<InstrCxlReqId>		[0..1]		12
	Identification	<Id>		[1..1]		64
	LinkageType	<LkgTp>		[0..1]		65
	OtherDocumentIdentification	<OthrDocId>		[0..*]		12
	Identification	<Id>		[1..1]		65
	DocumentNumber	<DocNb>		[0..1]		66
	LinkageType	<LkgTp>		[0..1]		66
	EventsLinkage	<EvtsLkg>		[0..*]		13
	EventIdentification	<EvtId>		[1..1]		40
	LinkageType	<LkgTp>		[0..1]		40
	CorporateActionGeneralInformation	<CorpActnGnlInf>		[1..1]		13
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		43
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		44
	EventType	<EvtTp>		[1..1]		44
	UnderlyingSecurity	<UndrlygScty>		[0..1]		45
	AccountDetails	<AcctDtls>		[1..1]		14
	SafekeepingAccount	<SfkpgAcct>		[0..1]		20
	BlockchainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		20
	AccountOwner	<AcctOwnr>		[0..1]		21
	SafekeepingPlace	<SfkpgPlc>		[0..1]		21
	Balance	<Bal>		[0..1]		22
	BeneficialOwnerDetails	<BnfclOwnrDtls>		[0..*]		14

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OwnerIdentification	<OwnrId>		[1..1]		113
	AlternateIdentification	<AltrnId>		[0..*]		113
	DomicileCountry	<DmclCtry>		[0..1]		114
	NonDomicileCountry	<NonDmclCtry>		[0..*]		114
	OwnedSecuritiesQuantity	<OwndSciesQty>		[1..1]		114
	CertificationType	<CertfctnTp>		[0..*]		114
	WithholdingTaxRate	<WhldgTaxRate>		[0..1]		115
	CertificationBreakdown	<CertfctnBrkdwn>		[0..*]		115
	CorporateActionInstruction	<CorpActnInstr>		[1..1]		15
	OptionNumber	<OptnNb>		[1..1]		50
	OptionType	<OptnTp>		[1..1]		51
	OptionFeatures	<OptnFeatrs>		[0..1]		52
	FractionDisposition	<FrctnDspstn>		[0..1]		52
	ChangeType	<ChngTp>		[0..*]		53
	EligibleForCollateralIndicator	<ElgblForCollnd>		[0..1]		53
	SolicitationDealerFeeIndicator	<SlctnDealrFeeInd>		[0..1]		53
	CurrencyToBuy	<CcyToBuy>		[0..1]		53
	CurrencyToSell	<CcyToSell>		[0..1]		54
	CurrencyOption	<CcyOptn>		[0..1]		54
	SecurityIdentification	<Sctyld>		[0..1]		54
	SecuritiesQuantityOrInstructedAmount	<SciesQtyOrInstdAmt>		[1..1]		55
	ExecutionRequestedDateTime	<ExctnReqdDtTm>		[0..1]		55
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		56
	PriceDetails	<PricDtls>		[0..1]		56
	ShareholderNumber	<ShrhldrNb>		[0..1]		57
	AdditionalInformation	<AddtlInf>		[0..1]		57
	ProtectInstruction	<PrctInstr>		[0..1]		16
	TransactionType	<TxTp>		[1..1]		129
	TransactionIdentification	<TxId>		[0..1]		129
	ProtectSafekeepingAccount	<PrctSfkgAcct>		[0..1]		129
	ProtectDate	<PrctDt>		[0..1]		129

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[0..1]		17
	RegistrationDetails	<RegnDtls>		[0..*]		46
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]		47
	CertificationBreakdown	<CertfctnBrkdwn>		[0..*]		47
	SupplementaryData	<SplmtryData>		[0..*]	  R[1..1]	17
	PlaceAndName	<PlcAndNm>		[0..1]		150
	Envelope	<Envlp>		[1..1]		151

3 ISO 20022 Rules

R1 **InstructionCancellationRequestIdentificationRule**

If ChangeInstructionIndicator is 'true' or '1' (Yes), then InstructionCancellationRequestIdentification must be present.

Error handling: Undefined

R2 **CorporateActionEventIdentificationRule**

If the CorporateActionInstruction message is unsolicited (that is, this instruction has not been preceded by an CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

Error handling: Undefined

R3 **AdditionalInformationRule**

Elements in AdditionalInformation and CorporateActionInstruction/AdditionalInformation must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

Error handling: Undefined

R4 **OptionTypeRule**

If CorporateActionInstruction/OptionType/Code value is TAXI (Tax Instruction), then at least one occurrence of BeneficialOwnerDetails must be present.

Error handling: Undefined

R5 **BeneficialOwnerBreakdownRequestRule** ✓

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00487
- *Error Text:* Invalid message contents for CorporateActionGeneralInformation/EventType/Code. It must be WTRC.

4 Guidelines

1. **ChangeInstructionIndicatorGuideline**

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

5 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - CA - Voluntary Re-org Instructions - SR 2026 / Corporate Action Instruction seev.033.001.14 message definition.

Usage Guideline on Root Node

- on seev.033.001.14/

- **Market Practice Usage Rule:**

Used to notify DTCC of an election. Elections cannot be modified only withdrawn and submitted again. Elections will be processed in accumulating manner, meaning if an additional election is sent then it is added to elected balance.

- on seev.033.001.14/

Synonym (DTCC): Election Instruction

- on seev.033.001.14/

- **MandatoryIf Rights Exercise Event:**

For each [Full Message/Document/CorporateActionInstructionV14], at least one occurrence of [CorporateActionInstructionV14/CorporateActionGeneralInformation/UnderlyingSecurity] must be present when at least one occurrence of [CorporateActionInstructionV14/CorporateActionGeneralInformation/EventType/Code/CallOnInter-mediateSecurities] is present

- on seev.033.001.14/

- **Mandatory if Put Redemption Event:**

For each [Full Message/Document/CorporateActionInstructionV14], at least one occurrence of [CorporateActionInstructionV14/CorporateActionGeneralInformation/UnderlyingSecurity] must be present when at least one occurrence of [CorporateActionInstructionV14/CorporateActionGeneralInformation/EventType/Code/PutRedemption] is present

5.1 ChangeInstructionIndicator

XML Tag: ChngInstrInd

Presence: [0..1]

Definition: Indicates that the current instruction is replacing a previous one that was cancelled earlier.

Datatype: "YesNoIndicator" on page 234

Usage Guideline details

- This element(seev.033.001.14/ChangeInstructionIndicator) is removed.

5.2 CancelledInstructionIdentification

XML Tag: CancInstrId

Presence: [0..1]

Definition: Identification of a previously sent cancelled instruction document.

The **CancInstrId** block contains the following elements (see datatype "[DocumentIdentification31](#)" on page 64 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	64
	LinkageType	<LkgTp>		[0..1]		65

Usage Guideline details

- This element(seev.033.001.14/CancelledInstructionIdentification) is removed.

5.3 InstructionCancellationRequestIdentification

XML Tag: InstrCxlReqId

Presence: [0..1]

Definition: Identification of a previously sent instruction cancellation request document.

The **InstrCxlReqId** block contains the following elements (see datatype "[DocumentIdentification31](#)" on page 64 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	64
	LinkageType	<LkgTp>		[0..1]		65

Usage Guideline details

- This element(seev.033.001.14/InstructionCancellationRequestIdentification) is removed.

5.4 OtherDocumentIdentification

XML Tag: OthrDocId

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

The **OthrDocId** block contains the following elements (see datatype "[DocumentIdentification32_1](#)" on page 65 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		65
	DocumentNumber	<DocNb>		[0..1]		66
	LinkageType	<LkgTp>		[0..1]		66

Usage Guideline details

- This element(seev.033.001.14/OtherDocumentIdentification) is removed.

5.5 EventsLinkage

XML Tag: EvtSLkg

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

The **EvtSLkg** block contains the following elements (see datatype "[CorporateActionEventReference3_1](#)" on page 39 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		40
	LinkageType	<LkgTp>		[0..1]		40

Usage Guideline details

- This element(seev.033.001.14/EventsLinkage) is removed.

5.6 CorporateActionGeneralInformation

XML Tag: CorpActnGnllnf

Presence: [1..1]

Definition: General information about the corporate action event.

The **CorpActnGnllnf** block contains the following elements (see datatype "[CorporateActionGeneralInformation180_1](#)" on page 42 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		43
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		44
	EventType	<EvtTp>		[1..1]		44
	UnderlyingSecurity	<UndrlygScty>		[0..1]		45

5.7 AccountDetails

XML Tag: AcctDtls

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

The **AcctDtls** block contains the following elements (see datatype "AccountAndBalance61" on page 19 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]		20
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		20
	AccountOwner	<AcctOwnr>		[0..1]		21
	SafekeepingPlace	<SfkpgPlc>		[0..1]		21
	Balance	<Bal>		[0..1]		22

Usage Guideline details

- on **seev.033.001.14/AccountDetails**
 - **Market Practice Implementation Rule:**

Must be DTCC assigned Participant number. No padding with zeroes. If participant number is 1 then 1, if 35 then 35. Field is validated, if fails reject code SAFE.
- on **seev.033.001.14/AccountDetails**

Synonym (DTCC): Participant Details

5.8 BeneficialOwnerDetails

XML Tag: BnfcIOwnrDtls

Presence: [0..*]

Definition: Information about the beneficial owner of the securities.

The **BnfcIOwnrDtls** block contains the following elements (see datatype "[PartyIdentification340_1](#)" on page 112 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OwnerIdentification	<OwnrId>		[1..1]		113
	AlternateIdentification	<AltrnId>		[0..*]		113
	DomicileCountry	<DmclCtry>		[0..1]		114
	NonDomicileCountry	<NonDmclCtry>		[0..*]		114
	OwnedSecuritiesQuantity	<OwndScitiesQty>		[1..1]		114
	CertificationType	<CertfctnTp>		[0..*]		114
	WithholdingTaxRate	<WhldgTaxRate>		[0..1]		115
	CertificationBreakdown	<CertfctnBrkdown>		[0..*]		115

Usage Guideline details

- This element(seev.033.001.14/BeneficialOwnerDetails) is removed.

5.9 CorporateActionInstruction

XML Tag: CorpActnInstr

Presence: [1..1]

Definition: Information about the corporate action instruction.

The **CorpActnInstr** block contains the following elements (see datatype "[CorporateActionOption237_1](#)" on page 49 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		50
	OptionType	<OptnTp>		[1..1]		51
	OptionFeatures	<OptnFeatrs>		[0..1]		52
	FractionDisposition	<FrctnDspstn>		[0..1]		52
	ChangeType	<ChngTp>		[0..*]		53

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EligibleForCollateralIndicator	<ElgblForCollInd>		[0..1]	🚫	53
	SolicitationDealerFeeIndicator	<SlctnDealrFeeInd>		[0..1]		53
	CurrencyToBuy	<CcyToBuy>		[0..1]	🚫	53
	CurrencyToSell	<CcyToSell>		[0..1]	🚫	54
	CurrencyOption	<CcyOptn>		[0..1]	🚫	54
	SecurityIdentification	<SctyId>		[0..1]	⚠️	54
	SecuritiesQuantityOrInstructedAmount	<SctiesQtyOrInstAmt>		[1..1]		55
	ExecutionRequestedDateTime	<ExctnReqdDtTm>		[0..1]	🚫	55
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]	🚫	56
	PriceDetails	<PricDtls>		[0..1]		56
	ShareholderNumber	<ShrhldrNb>		[0..1]	⚠️	57
	AdditionalInformation	<AddtlInf>		[0..1]		57

5.10 ProtectInstruction

XML Tag: PrtctlInstr

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

The **PrtctlInstr** block contains the following elements (see datatype "ProtectInstruction1 1" on page 128 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TransactionType	<TxTp>		[1..1]		129
	TransactionIdentification	<TxId>		[0..1]	⚠️	129
	ProtectSafekeepingAccount	<PrtctSfkgpgAcct>		[0..1]	⚠️	129
	ProtectDate	<PrtctDt>		[0..1]		129

Usage Guideline details

- on seev.033.001.14/ProtectInstruction
 - Annotation: (empty)







5.11 AdditionalInformation




XML Tag: AddtlInf

Presence: [0..1]

Definition: Provides additional information.

The **AddtlInf** block contains the following elements (see datatype "CorporateActionNarrative30_1" on page 46 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegistrationDetails	<RegnDtls>		[0..*]		46
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]		47
	CertificationBreakdown	<CertfctnBrkdw>		[0..*]		47

Usage Guideline details

- This element(seev.033.001.14/AdditionalInformation) is removed.







5.12 SupplementaryData



XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "SupplementaryData1_1" on page 150 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		150
	Envelope	<Envlp>		[1..1]		151

Usage Guideline details

- on seev.033.001.14/SupplementaryData
 This element is now mandatory, the minimum occurrence has been changed to : 1
 This element has change repeatability, the maximum occurrence has been changed to : 1
- on seev.033.001.14/SupplementaryData
 – Market Practice Implementation Rule:

Used to incorporate DTCC extensions: corporate action instruction extension schema.

6 Message Components

Note The following chapter identifies the message components.

6.1 AccountAndBalance61__1

Definition: Provides account and balance information.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]		20
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		20
	AccountOwner	<AcctOwnr>		[0..1]		21
	SafekeepingPlace	<SfkpgPlc>		[0..1]		21
	Balance	<Bal>		[0..1]		22

Rules

R21 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

Error handling: Undefined

R22 SafekeepingAccountOrBlockChainAddress1Rule ✓

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00552
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R23 SafekeepingAccountOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00567
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R24 SafekeepingAccountOrBlockchainAddress3Rule ✓

If SafekeepingAccount is present, then BlockchainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00568
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Used in element(s)

"AccountDetails" on page 14

6.1.1 SafekeepingAccount

XML Tag: SfkpgAcct

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 230

Usage Guideline details

- **on seev.033.001.14/AccountDetails/SafekeepingAccount**
This element is now mandatory, the minimum occurrence has been changed to : 1
- **on seev.033.001.14/AccountDetails/SafekeepingAccount**
Type changed to: RestrictedFINXMax35Text on page 230
- **on seev.033.001.14/AccountDetails/SafekeepingAccount**
Synonym (DTCC): Participant Number
- **on seev.033.001.14/AccountDetails/SafekeepingAccount**
Comment:
DTCC assigned internal participant identification number.

6.1.2 BlockchainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 227

Usage Guideline details

- **This element(seev.033.001.14/AccountDetails/BlockchainAddressOrWallet) is removed.**

- on [seev.033.001.14/AccountDetails/BlockChainAddressOrWallet](#)

Type changed to: [RestrictedFINXMax140Text](#) on page 227







6.1.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "[PartyIdentification127Choice_1](#)" on page 110 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		110
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		110

Usage Guideline details

- This element([seev.033.001.14/AccountDetails/AccountOwner](#)) is removed.







6.1.4 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "[SafekeepingPlaceFormat42Choice_1](#)" on page 139 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		139
	Country	<Ctry>	Or	[1..1]		140
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		140
	TypeAndIdentification	<TpAndId>	Or	[1..1]		140
	Proprietary	<Prtry>	Or}	[1..1]		140

Usage Guideline details

- This element(seev.033.001.14/AccountDetails/SafekeepingPlace) is removed.







6.1.5 Balance

XML Tag: Bal

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "CorporateActionBalanceDetails42_1" on page 31 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		32
	BlockedBalance	<BlckdBal>		[0..1]		32
	BorrowedBalance	<BrrwdBal>		[0..1]		33
	CollateralInBalance	<CollInBal>		[0..1]		33
	CollateralOutBalance	<CollOutBal>		[0..1]		34
	OnLoanBalance	<OnLnBal>		[0..1]		34
	PendingDeliveryBalance	<PdgDlvrBal>		[0..*]		34
	PendingReceiptBalance	<PdgRctBal>		[0..*]		35
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]		35
	SettlementPositionBalance	<SttlmPosBal>		[0..1]		35
	StreetPositionBalance	<StrtPosBal>		[0..1]		36
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]		36
	InTransshipmentBalance	<InTrnsShipmntBal>		[0..1]		37
	RegisteredBalance	<RegdBal>		[0..1]		37


Usage Guideline details

- This element(seev.033.001.14/AccountDetails/Balance) is removed.

6.2 AlternatePartyIdentification12__1

Definition: Alternate identification for a party using an identification type, a country code and a text field.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		23
	Country	<Ctry>		[1..1]		23
	AlternatIdentification	<AltrnId>		[1..1]		23
	LEI	<LEI>		[0..1]		24

Used in element(s)

"AlternatIdentification" on page 113







6.2.1 IdentificationType

XML Tag: IdTp

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

The **IdTp** block contains the following elements (see datatype "IdentificationType42Choice 1" on page 99 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		99
	Proprietary	<Prtry>	Or}	[1..1]		100

6.2.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Datatype: "CountryCode" on page 199

6.2.3 AlternatIdentification

XML Tag: AltrnId

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: "RestrictedFINXMax30Text" on page 228

Usage Guideline details

- on [seev.033.001.14/BeneficialOwnerDetails/AlternatIdentification/AlternatIdentification](#)

Type changed to: [RestrictedFINXMax30Text on page 228](#)

6.2.4 LEI

XML Tag: LEI







Presence: [0..1]

Definition: Legal entity identification of the party.

Datatype: ["LEIIdentifier" on page 211](#)

6.3 AlternatePartyIdentification7__1

Definition: Alternate identification for a party using an identification type, a country code and a text field.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		24
	Country	<Ctry>		[1..1]		24
	AlternatIdentification	<AltrnId>		[1..1]		25

Used in element(s)

["IndividualOwnerIdentification" on page 112](#)







6.3.1 IdentificationType

XML Tag: IdTp

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

The **IdTp** block contains the following elements (see datatype ["IdentificationType42Choice__1" on page 99](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		99
	Proprietary	<Prtry>	Or}	[1..1]		100

6.3.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Datatype: ["CountryCode" on page 199](#)

6.3.3 Alternateldentification

XML Tag: AltrnId







Presence: [1..1]


Definition: Alternate identification for a party.

Datatype: ["Max35Text" on page 213](#)

6.4 AmountPrice3__1

Definition: Price expressed as an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		25
	PriceValue	<PricVal>		[1..1]		25

Used in element(s)

["AmountPrice" on page 119](#), ["AmountPrice" on page 120](#)

6.4.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code" on page 154](#)

6.4.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 224](#)

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 224](#)

- on [seev.033.001.14/CorporateActionInstruction/PriceDetails/IndicativeOrMarketPrice/IndicativePrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 224
- on [seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 224
- on [seev.033.001.14/CorporateActionInstruction/PriceDetails/IssuePrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 224
- on [seev.033.001.14/CorporateActionInstruction/PriceDetails/IndicativeOrMarketPrice/MarketPrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 224

6.5 AmountPricePerAmount2__1

Definition: Specifies a ratio: Amount price per amount.

Example:

ISIN US629377AS17. Repurchase USD1087.17 cash for every USD1000 stock (NRG Energy Inc 8% Senior Notes 15/12/13).

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		26
	PriceValue	<PricVal>		[1..1]	⚠	26
	Amount	<Amt>		[1..1]	⚠	27

Used in element(s)

["AmountPricePerAmount" on page 121](#)

6.5.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code" on page 154](#)

6.5.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 224](#)

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPer-Product/AmountPricePerAmount/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 224](#)

6.5.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: The amount on which the price is based.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 224](#)







Usage Guideline details


- on [seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPer-Product/AmountPricePerAmount/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 224](#)

6.6 AmountPricePerFinancialInstrumentQuantity10__1

Definition: Specifies a ratio: amount price per financial instrument quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		27
	PriceValue	<PricVal>		[1..1]		28
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		28

Used in element(s)

["AmountPricePerFinancialInstrumentQuantity" on page 120](#)

6.6.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code" on page 154](#)

6.6.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 224

Usage Guideline details

- on seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPer-Product/AmountPricePerFinancialInstrumentQuantity/PriceValue

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 224

6.6.3 FinancialInstrumentQuantity

XML Tag: FinInstrmQty

Presence: [1..1]

Definition: Quantity of financial instrument.

The **FinInstrmQty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice 1" on page 77 for details)

ⓧ Removed - ⓧ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	77
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	81
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		89

6.7 BalanceFormat11Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

ⓧ Removed - ⓧ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29

Used in element(s)

"BlockedBalance" on page 32, "BorrowedBalance" on page 33, "CollateralInBalance" on page 33, "CollateralOutBalance" on page 34, "InTransshipmentBalance" on page 37, "On-LoanBalance" on page 34, "OutForRegistrationBalance" on page 35, "PendingDeliveryBalance" on page 34, "PendingReceiptBalance" on page 35, "RegisteredBalance" on page 37, "SettlementPositionBalance" on page 35, "StreetPositionBalance" on page 36, "TradeDatePositionBalance" on page 36

6.7.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat11_1](#)" on page 149 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		149
	QuantityChoice	<QtyChc>		[1..1]		149

6.7.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10_1](#)" on page 148 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		148
	Quantity	<Qty>		[1..1]		149







6.7.3 NotEligibleBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.







The **NotElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10_1](#)" on page 148 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		148
	Quantity	<Qty>		[1..1]		149

6.8 BeneficiaryCertificationType10Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		30
	Proprietary	<Prtry>	Or}	[1..1]		30

Used in element(s)

"CertificationType" on page 114

6.8.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of certification required.

Datatype: "BeneficiaryCertificationType5Code" on page 156







6.8.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of certification required.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 90 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

6.9 ClassificationType32Choice__1

Definition: Choice of format for the classification.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]		31
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]		31

Used in element(s)

"ClassificationType" on page 71

6.9.1 ClassificationFinancialInstrument

XML Tag: ClssfctnFinInstrm

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: "CFIOct2015Identifier" on page 158

6.9.2 AlternateClassification

XML Tag: AltrnClssfctn

Presence: [1..1]

Definition: Proprietary classification of financial instrument.

The **AltrnClssfctn** block contains the following elements (see datatype "GenericIdentification36__1" on page 94 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	94
	Issuer	<Issr>		[1..1]	⚠	95
	SchemeName	<SchmeNm>		[0..1]	⚠	95

6.10 CorporateActionBalanceDetails42__1

Definition: Eligible and not eligible balance of securities for a corporate action event.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		32
	BlockedBalance	<BlckdBal>		[0..1]		32
	BorrowedBalance	<BrrwdBal>		[0..1]		33
	CollateralInBalance	<CollInBal>		[0..1]		33
	CollateralOutBalance	<CollOutBal>		[0..1]		34
	OnLoanBalance	<OnLnBal>		[0..1]		34
	PendingDeliveryBalance	<PdgDlvryBal>		[0..*]		34
	PendingReceiptBalance	<PdgRctBal>		[0..*]		35
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]		35
	SettlementPositionBalance	<SttlmPosBal>		[0..1]		35
	StreetPositionBalance	<StrtPosBal>		[0..1]		36
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]		36
	InTransshipmentBalance	<InTrnsShipmntBal>		[0..1]		37
	RegisteredBalance	<RegdBal>		[0..1]		37

Used in element(s)

"Balance" on page 22







6.10.1 TotalEligibleBalance

XML Tag: TtlElgblBal

Presence: [0..1]

Definition: Total quantity of financial instruments of the balance.

The **TtlElgblBal** block contains the following elements (see datatype "[Quantity49Choice](#) 1" on page 131 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		131
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		131

6.10.2 BlockedBalance

XML Tag: BlckdBal

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

The **BldkdBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on [page 28](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29

6.10.3 BorrowedBalance

XML Tag: BrrwdBal

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

The **BrrwdBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on [page 28](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29

6.10.4 CollateralInBalance

XML Tag: CollInBal

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

The **CollInBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on [page 28](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29







6.10.5 CollateralOutBalance

XML Tag: CollOutBal

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

The **CollOutBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on page 28 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29







6.10.6 OnLoanBalance

XML Tag: OnLnBal

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

The **OnLnBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on page 28 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29







6.10.7 PendingDeliveryBalance

XML Tag: PdgDlvryBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

The **PdgDlvryBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on page 28 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29







6.10.8 PendingReceiptBalance

XML Tag: PdgRctBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

The **PdgRctBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 28 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29







6.10.9 OutForRegistrationBalance

XML Tag: OutForRegnBal

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

The **OutForRegnBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 28 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29

6.10.10 SettlementPositionBalance

XML Tag: SttlmPosBal

Presence: [0..1]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

The **SttlmPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on page 28 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29

6.10.11 StreetPositionBalance

XML Tag: StrtPosBal

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

The **StrtPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on page 28 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29

6.10.12 TradeDatePositionBalance

XML Tag: TradDtPosBal

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

The **TradDtPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on page 28 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29

6.10.13 InTransshipmentBalance

XML Tag: InTrnsShipmntBal

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

The **InTrnsShipmntBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 28 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29

6.10.14 RegisteredBalance

XML Tag: RegdBal

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

The **RegdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 28 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		29
	EligibleBalance	<ElgblBal>	Or	[1..1]		29
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		29

6.11 CorporateActionCodeFormat6Choice__1

Definition: Choice between a standard code or a proprietary code to specify a type of change.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		38
	Proprietary	<Prtry>	Or}	[1..1]		38

Used in element(s)

"ChangeType" on page 53

6.11.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "CorporateActionChangeType2Code" on page 158







6.11.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of changes.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 90 for details)



 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

6.12 CorporateActionEventReference3Choice__1

Definition: Choice between a corporate action identification or a corporate action official identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or	[1..1]		39
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		39

Used in element(s)

["EventIdentification" on page 40](#)

6.12.1 LinkedOfficialCorporateActionEventIdentification

XML Tag: LkdOffclCorpActnEvtld

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 227](#)

Usage Guideline details

- on [seev.033.001.14/EventsLinkage/EventIdentification/LinkedOfficialCorporateActionEventIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 227](#)

6.12.2 LinkedCorporateActionIdentification

XML Tag: LkdCorpActnId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 227](#)







Usage Guideline details

- on [seev.033.001.14/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 227](#)

6.13 CorporateActionEventReference3__1

Definition: Identification of a linked corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<Evtld>		[1..1]		40
	LinkageType	<LkgTp>		[0..1]		40

Used in element(s)

["EventsLinkage" on page 13](#)

6.13.1 EventIdentification

XML Tag: EvtId

Presence: [1..1]

Definition: Identification of the linked corporate action event.

The **EvtId** block contains the following elements (see datatype "CorporateActionEventReference3Choice_1" on page 38 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or}	[1..1]	⚠	39
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]	⚠	39

6.13.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.

The **LkgTp** block contains the following elements (see datatype "ProcessingPosition7Choice_1" on page 121 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		122
	Proprietary	<Prtry>	Or}	[1..1]		122

6.14 CorporateActionEventType112Choice__1

Definition: Choice between formats to express the corporate event type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]	⚠	41
	Proprietary	<Prtry>	Or}	[1..1]	🚫	41

Rules

R12 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

Error handling: Undefined

Usage Guideline restrictions for this element

- This rule(seev.033.001.14/CorporateActionGeneralInformation/Event-Type/EventTypeRule) is removed.

Used in element(s)

"EventType" on page 44

6.14.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType40Code" on page 159

Usage Guideline details

- on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code

- Reorganization Event Type Rule:

Events will be restricted to only those related to Reorganization events in scope for ISO20022 Reorganization instructions

- on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code

- Reorganization Event Type Rule:

Events will be restricted to only those related to Reorganization events in scope for ISO20022 Reorganization instructions







6.14.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 90 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92





Usage Guideline details

- This element(seev.033.001.14/CorporateActionGeneralInformation/EventType/Proprietary) is removed.

6.15 CorporateActionGeneralInformation180__1

Definition: General information about the corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		43
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		44
	EventType	<EvtTp>		[1..1]		44
	UnderlyingSecurity	<UndrlygScty>		[0..1]		45

Rules

R10 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

Error handling: Undefined

Usage Guideline restrictions for this element

– This rule([seev.033.001.14/CorporateActionGeneralInformation/EventTypeRule](#)) is removed.

R11 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

Error handling: Undefined

Used in element(s)

["CorporateActionGeneralInformation" on page 13](#)

6.15.1 CorporateActionEventIdentification

XML Tag: CorpActnEvtId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 227](#)

Usage Guideline details

- on [seev.033.001.14/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 227](#)
- on [seev.033.001.14/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
 - Market Practice Implementation Rule:

Required. Must match as in original CANO. If not matched rejected with code EVNM.
- on [seev.033.001.14/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
 - Event ID Rule:

If
Corporate Action Event Identification not equal to valid DTCC Corporate Action Event ID (CAID)

Then
Official Corporate Action Event Identification must be present

Else
Instruction will be rejected
- on [seev.033.001.14/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Synonym (DTCC): CA ID
- on [seev.033.001.14/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Comment:

DTC unique identifier of the corporate action event.

6.15.2 OfficialCorporateActionEventIdentification

XML Tag: OffclCorpActnEvtId

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 227

Usage Guideline details

- on seev.033.001.14/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification

Type changed to: RestrictedFINXMax16Text on page 227

- on seev.033.001.14/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification

– **Market Practice Implementation Rule:**

Optional field in ISO schema. Required as mandatory by DTCC. Must match as in original CAIN. If not matched rejected with code EVNM.

- on seev.033.001.14/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification

Synonym (DTCC): Official Reference CA ID

- on seev.033.001.14/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification

Comment:

Official corporate action unique identifier.

6.15.3 EventType

XML Tag: EvtTp

Presence: [1..1]

Definition: Type of corporate action event.

The **EvtTp** block contains the following elements (see datatype "CorporateActionEventType112Choice 1" on page 40 for details)

🚫 Removed - 🚫 Partial Removed - 💡 Ignored - 💡 Partial Ignored - ⚠️ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]	⚠️	41
	Proprietary	<Prtry>	Or}	[1..1]	🚫	41

Usage Guideline details

- on **seev.033.001.14/CorporateActionGeneralInformation/EventType**
 - **Reorganization Event Type Rule:**

Events will be restricted to only those related to Reorganization events in scope for ISO20022 Reorganization instructions
- on **seev.033.001.14/CorporateActionGeneralInformation/EventType**
 - **Market Practice Implementation Rule:**

Required. Must match as in original CANO. If not matched rejected with code OTHR with "Incorrect Event Type" in AdditionalReasonInformation.
- on **seev.033.001.14/CorporateActionGeneralInformation/EventType**
Synonym (DTCC): Event Type
- on **seev.033.001.14/CorporateActionGeneralInformation/EventType**
Comment:
Type of corporate action event. (e.g., Exchange Offer, Final Paydown).

6.15.4 UnderlyingSecurity

XML Tag: UndrlygScty

Presence: [0..1]

Definition: Security concerned by the corporate action.

The **UndrlygScty** block contains the following elements (see datatype "[FinancialInstrumentAttributes132_1](#)" on page 69 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[0..1]	⚠	70
	PlaceOfListing	<PlcOfListg>		[0..1]	🚫	70
	DayCountBasis	<DayCntBsis>		[0..1]	🚫	71
	ClassificationType	<ClssfctnTp>		[0..1]	🚫	71
	DenominationCurrency	<DnmtnCcy>		[0..1]	🚫	72
	NextCouponDate	<NxtCpnDt>		[0..1]	🚫	72
	ExpiryDate	<XpryDt>		[0..1]	🚫	72
	FloatingRateFixingDate	<FltgRateFxdt>		[0..1]	🚫	73
	MaturityDate	<MtrtyDt>		[0..1]	🚫	73
	IssueDate	<IsseDt>		[0..1]	🚫	73
	NextCallableDate	<NxtCllbldt>		[0..1]	🚫	73

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PutableDate	<PutblDt>		[0..1]	🚫	74
	DatedDate	<DtdDt>		[0..1]	🚫	74
	ConversionDate	<ConvsDt>		[0..1]	🚫	74
	PreviousFactor	<PrvsFctr>		[0..1]	🚫	75
	NextFactor	<NxtFctr>		[0..1]	🚫	75
	InterestRate	<IntrstRate>		[0..1]	🚫	75
	NextInterestRate	<NxtIntrstRate>		[0..1]	🚫	76
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	🚫	76
	ContractSize	<CtrctSz>		[0..1]	🚫	76

Usage Guideline details

- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity](#)
 - **Conditional rule:** (empty)
- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity](#)
 - **Annotation:** (empty)

6.16 CorporateActionNarrative30__1

Definition: Provides additional information such as the registration details.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegistrationDetails	<RegnDtls>		[0..*]	⚠	46
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]	⚠	47
	CertificationBreakdown	<CertfctnBrkdown>		[0..*]	⚠	47

Used in element(s)

["AdditionalInformation"](#) on page 17

6.16.1 RegistrationDetails

XML Tag: RegnDtls

Presence: [0..*]

Definition: Provides information required for the registration.

Datatype: ["RestrictedFINXMax350Text"](#) on page 229

Usage Guideline details

- on [seev.033.001.14/AdditionalInformation/RegistrationDetails](#)

Type changed to: [RestrictedFINXMax350Text](#) on page 229

6.16.2 PartyContactNarrative

XML Tag: PtyCtctNrrtv

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 229

Usage Guideline details

- on [seev.033.001.14/AdditionalInformation/PartyContactNarrative](#)

Type changed to: [RestrictedFINXMax350Text](#) on page 229

6.16.3 CertificationBreakdown

XML Tag: CertfctnBrkdown

Presence: [0..*]

Definition: Provides information required for the certification/breakdown.

Datatype: "RestrictedFINXMax350Text" on page 229







Usage Guideline details








- on [seev.033.001.14/AdditionalInformation/CertificationBreakdown](#)

Type changed to: [RestrictedFINXMax350Text](#) on page 229

6.17 CorporateActionNarrative32__1

Definition: Provides additional information such as the information to comply with.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InformationToComplyWith	<InfToCmplyWth>		[0..*]	 	48
	DeliveryDetails	<DlvryDtls>		[0..*]	 	48
	ForeignExchangeInstructionsAdditionalInformation	<FXInstrsAddtlInf>		[0..*]	 	48
	InstructionAdditionalInformation	<InstrAddtlInf>		[0..*]	 R[0..1]	49

Used in element(s)

["AdditionalInformation" on page 57](#)

6.17.1 InformationToComplyWith

XML Tag: InfToCmplyWith

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or Sophisticated Investor Letter (SIL) to be provided.

Datatype: ["RestrictedFINXMax350Text" on page 229](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/AdditionalInformation/InformationToComplyWith](#)) is removed.
- on [seev.033.001.14/CorporateActionInstruction/AdditionalInformation/InformationToComplyWith](#)

Type changed to: [RestrictedFINXMax350Text on page 229](#)

6.17.2 DeliveryDetails

XML Tag: DlvryDtls

Presence: [0..*]

Definition: Provides additional information on the delivery details of the outturned (derived) securities. This narrative is only to be used in case the securities are not eligible at the agent/custodian, and may not be used for settlement instructions.

Datatype: ["RestrictedFINXMax350Text" on page 229](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/AdditionalInformation/DeliveryDetails](#)) is removed.
- on [seev.033.001.14/CorporateActionInstruction/AdditionalInformation/DeliveryDetails](#)

Type changed to: [RestrictedFINXMax350Text on page 229](#)

6.17.3 ForeignExchangeInstructionsAdditionalInformation

XML Tag: FXInstrsAddtlInf

Presence: [0..*]

Definition: Provides additional details pertaining to foreign exchange instructions.

Datatype: ["RestrictedFINXMax350Text" on page 229](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/AdditionalInformation/ForeignExchangeInstructionsAdditionalInformation](#)) is removed.
- on [seev.033.001.14/CorporateActionInstruction/AdditionalInformation/ForeignExchangeInstructionsAdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text](#) on page 229

6.17.4 InstructionAdditionalInformation

XML Tag: InstrAddtllnf

Presence: [0..*]

Definition: Provides additional details pertaining to the corporate action instruction.







Datatype: ["RestrictedFINXMax350Text"](#) on page 229



Usage Guideline details











- on [seev.033.001.14/CorporateActionInstruction/AdditionalInformation/InstructionAdditionalInformation](#)
This element has change repeatability, the maximum occurrence has been changed to : 1
- on [seev.033.001.14/CorporateActionInstruction/AdditionalInformation/InstructionAdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text](#) on page 229
- on [seev.033.001.14/CorporateActionInstruction/AdditionalInformation/InstructionAdditionalInformation](#)
 - Annotation: (empty)
- on [seev.033.001.14/CorporateActionInstruction/AdditionalInformation/InstructionAdditionalInformation](#)
Comment:
For REORG Only

6.18 CorporateActionOption237__1

Definition: Provides information about the corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		50
	OptionType	<OptnTp>		[1..1]		51
	OptionFeatures	<OptnFeatrs>		[0..1]		52

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FractionDisposition	<FrctnDspstn>		[0..1]		52
	ChangeType	<ChngTp>		[0..*]		53
	EligibleForCollateralIndicator	<ElgblForCollInd>		[0..1]		53
	SolicitationDealerFeeIndicator	<SlctnDealrFeeInd>		[0..1]		53
	CurrencyToBuy	<CcyToBuy>		[0..1]		53
	CurrencyToSell	<CcyToSell>		[0..1]		54
	CurrencyOption	<CcyOptn>		[0..1]		54
	SecurityIdentification	<Sctyld>		[0..1]		54
	SecuritiesQuantityOrInstructedAmount	<SctiesQtyOrInstdAmt>		[1..1]		55
	ExecutionRequestedDateTime	<ExctnReqdDtTm>		[0..1]		55
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		56
	PriceDetails	<PricDtls>		[0..1]		56
	ShareholderNumber	<ShrhldrNb>		[0..1]		57
	AdditionalInformation	<AddtlInf>		[0..1]		57

Rules

R26 OptionNumber1Rule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

Error handling: Undefined

R27 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate Action Notification, then OptionNumber/code must be UNSO (unsolicited).

Error handling: Undefined

Used in element(s)

"CorporateActionInstruction" on page 15

6.18.1 OptionNumber

XML Tag: OptnNb

Presence: [1..1]

Definition: Number identifying the available corporate action options.

The **OptnNb** block contains the following elements (see datatype "OptionNumber1Choice" on page 105 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Number	<Nb>	{Or	[1..1]		105
	Code	<Cd>	Or}	[1..1]		105

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/OptionNumber](#)
 - **Market Practice Implementation Rule:**

Required. Must match as in original CANO if not matched rejected with code OPNM.
Also validated with Option type. If not matched rejected with code NMTY.
- on [seev.033.001.14/CorporateActionInstruction/OptionNumber](#)

Synonym (DTCC): Option Number
- on [seev.033.001.14/CorporateActionInstruction/OptionNumber](#)

Comment:
Number that uniquely identifies an option within an event.

6.18.2 OptionType

XML Tag: OptnTp

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

The **OptnTp** block contains the following elements (see datatype "[CorporateActionOption40Choice](#) 1" on [page 58](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		58
	Proprietary	<Prtry>	Or}	[1..1]		58

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/OptionType](#)
 - **DTCC Option Type Rule:**

Option types are restricted to only those options related to Reorganization events.
- on [seev.033.001.14/CorporateActionInstruction/OptionType](#)

– DTCC Option Type Code Rule:

Required by DTCC. Must match Option Type as in CANO that was sent by DTCC. If not matched, it will be rejected with the code OPTY.

- on **seev.033.001.14/CorporateActionInstruction/OptionType**

Synonym (DTCC): Option Type

- on **seev.033.001.14/CorporateActionInstruction/OptionType**

Comment:

Describes the type of option associated with the event (e.g., retain, sell).

6.18.3 OptionFeatures

XML Tag: OptnFeatr

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

The **OptnFeatr** block contains the following elements (see datatype "OptionFeaturesFormat25Choice 1" on page 104 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		104
	Proprietary	<Prtry>	Or}	[1..1]	🚫	104

6.18.4 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractional amount/quantities are treated.

The **FrctnDspstn** block contains the following elements (see datatype "FractionDispositionType28Choice 1" on page 89 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		90
	Proprietary	<Prtry>	Or}	[1..1]		90

Usage Guideline details

- This element(**seev.033.001.14/CorporateActionInstruction/FractionDisposition**) is removed.







6.18.5 ChangeType

XML Tag: ChngTp

Presence: [0..*]

Definition: Type of changes affecting the security form.

The **ChngTp** block contains the following elements (see datatype "[CorporateActionChangeTypeFormat6Choice 1](#)" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		38
	Proprietary	<Prtry>	Or}	[1..1]		38

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/ChangeType) is removed.

6.18.6 EligibleForCollateralIndicator

XML Tag: ElgblForCollInd

Presence: [0..1]

Definition: Indicates that the corporate action instruction is to be processed using the Available-for-Collateral pool.

Datatype: "[YesNoIndicator](#)" on page 234

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/EligibleForCollateralIndicator) is removed.

6.18.7 SolicitationDealerFeeIndicator

XML Tag: SlctnDealrFeeInd

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: "[YesNoIndicator](#)" on page 234

6.18.8 CurrencyToBuy

XML Tag: CcyToBuy

Presence: [0..1]

Definition: Account servicer is instructed to buy the indicated currency after the receipt of cash proceeds.

Datatype: ["ActiveCurrencyCode" on page 152](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/CurrencyToBuy](#)) is removed.

6.18.9 CurrencyToSell

XML Tag: CcyToSell

Presence: [0..1]

Definition: Account servicer is instructed to sell the indicated currency in order to obtain the necessary currency to fund the transaction within this instruction message.

Datatype: ["ActiveCurrencyCode" on page 152](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/CurrencyToSell](#)) is removed.

6.18.10 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Datatype: ["ActiveCurrencyCode" on page 152](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/CurrencyOption](#)) is removed.







6.18.11 SecurityIdentification


XML Tag: Sctyld



Presence: [0..1]

Definition: Identifies the financial instrument.

The **Sctyld** block contains the following elements (see datatype ["SecurityIdentification19 1" on page 145](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		146

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OtherIdentification	<OthrId>		[0..*]	R[0..1]	147
	Description	<Desc>		[0..1]	 	148

Usage Guideline details

- on **seev.033.001.14/CorporateActionInstruction/SecurityIdentification**
 - **Market Practice Usage Rule:**

Only DTC issued contra cusip (for tender) can be mapped to this tag.
- on **seev.033.001.14/CorporateActionInstruction/SecurityIdentification**
 - **Conditional rule:** (empty)
- on **seev.033.001.14/CorporateActionInstruction/SecurityIdentification**

Synonym (DTCC): Contra CUSIP
- on **seev.033.001.14/CorporateActionInstruction/SecurityIdentification**

Comment:
DTC issued user CUSIP associated with the event for the purpose of segregating positions.







6.18.12 SecuritiesQuantityOrInstructedAmount



XML Tag: SctiesQtyOrInstdAmt

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

The **SctiesQtyOrInstdAmt** block contains the following elements (see datatype "[SecuritiesQuantity-OrAmount6Choice_1](#)" on page 144 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecuritiesQuantity	<SctiesQty>	{Or	[1..1]		145
	InstructedAmount	<InstdAmt>	Or}	[1..1]	 	145

6.18.13 ExecutionRequestedDateTime

XML Tag: ExctnReqdDtTm

Presence: [0..1]

Definition: Date/time at which the instructing party requests the instruction to be executed.

The **ExctnReqdDtTm** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 63 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		64
	DateTime	<DtTm>	Or}	[1..1]		64

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/ExecutionRequestedDateTime) is removed.







6.18.14 RateAndAmountDetails





XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

The **RateAndAmtDtls** block contains the following elements (see datatype "CorporateAction-Rate127_1" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProposedRate	<PropsdRate>		[0..1]		62
	OversubscriptionRate	<OvrscptRate>		[0..1]		62
	RequestedWithholdingTaxRate	<ReqdWhldgTaxRate>		[0..*]		62
	RequestedSecondLevelTaxRate	<ReqdScndLvlTaxRate>		[0..*]		63

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails) is removed.







6.18.15 PriceDetails





XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "CorporateActionPrice88_1" on page 59 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]		59
	IssuePrice	<IssePric>		[0..1]		60
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		60
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		61

6.18.16 ShareholderNumber

XML Tag: ShrhldrNb

Presence: [0..1]

Definition: Reference number assigned to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

Datatype: "RestrictedFINXMax25Text" on page 228

Usage Guideline details

- on **seev.033.001.14/CorporateActionInstruction/ShareholderNumber**
Type changed to: RestrictedFINXMax25Text on page 228
- on **seev.033.001.14/CorporateActionInstruction/ShareholderNumber**
– Annotation: (empty)
- on **seev.033.001.14/CorporateActionInstruction/ShareholderNumber**
Synonym (DTCC): Allocation Code







6.18.17 AdditionalInformation







XML Tag: AddtlInf


Presence: [0..1]

Definition: Provides additional information.

The **AddtlInf** block contains the following elements (see datatype "CorporateActionNarrative32 1" on page 47 for details)







 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]


Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InformationToComplyWith	<InfToCmplyWth>		[0..*]	 	48
	DeliveryDetails	<DlvryDtls>		[0..*]	 	48
	ForeignExchangeInstructionsAdditionalInformation	<FXInstrsAddtlInf>		[0..*]	 	48

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InstructionAdditionalInformation	<InstrAddtlInf>		[0..*]	 R[0..1]	49

6.19 CorporateActionOption40Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of the corporate action option.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		58
	Proprietary	<Prtry>	Or}	[1..1]		58

Used in element(s)

"OptionType" on page 51

6.19.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "CorporateActionOption16Code" on page 187







6.19.2 Proprietary




XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 90 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

Usage Guideline details

- on seev.033.001.14/CorporateActionInstruction/OptionType/Proprietary

– **Market Practice Usage Rule:**

Use for VOTE events only.

6.20 CorporateActionPrice88__1

Definition: Specifies prices related to a corporate action option.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]	🚫	59
	IssuePrice	<IssePric>		[0..1]	🚫	60
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPd-ct>		[0..1]	⚠	60
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	🚫	61

Rules

R29 GenericCashPricePerProductGuideline

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

Error handling: Undefined

Used in element(s)

"PriceDetails" on page 56

6.20.1 IndicativeOrMarketPrice

XML Tag: IndctvOrMktPric

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

The **IndctvOrMktPric** block contains the following elements (see datatype "IndicativeOrMarketPrice13Choice__1" on page 100 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		100
	MarketPrice	<MktPric>	Or}	[1..1]		101

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/PriceDetails/IndicativeOrMarket-Price) is removed.







6.20.2 IssuePrice

XML Tag: IssePric

Presence: [0..1]

Definition: Initial issue price of a financial instrument.

The **IssePric** block contains the following elements (see datatype "PriceFormat77Choice 1" on page 118 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		118
	AmountPrice	<AmtPric>	Or}	[1..1]		119

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/PriceDetails/IssuePrice) is removed.







6.20.3 GenericCashPriceReceivedPerProduct



XML Tag: GncCshPricRcvdPerPdct


Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "PriceFormat78Choice 1" on page 119 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		119
	AmountPrice	<AmtPric>	Or	[1..1]		120
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		120
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		120
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]		121

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndexPoints	<IndxPts>	Or}	[1..1]		121

Usage Guideline details

- on seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPerProduct
 - Annotation: (empty)







6.20.4 GenericCashPricePaidPerProduct

XML Tag: GncCshPricPdPerPdct

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "PriceFor-mat77Choice 1" on page 118 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		118
	AmountPrice	<AmtPric>	Or}	[1..1]		119





Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPricePaidPerProduct) is removed.

6.21 CorporateActionRate127__1

Definition: Specifies rates related to a corporate action option.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProposedRate	<PropsdRate>		[0..1]		62
	OversubscriptionRate	<OvrscptRate>		[0..1]		62
	RequestedWithholdingTaxRate	<ReqdWhldgTaxRate>		[0..*]		62
	RequestedSecondLevelTaxRate	<ReqdScndLvlTaxRate>		[0..*]		63

Used in element(s)

"RateAndAmountDetails" on page 56

6.21.1 ProposedRate

XML Tag: PropsdRate

Presence: [0..1]

Definition: Rate proposed in a remarketing of variable rate notes.

Datatype: "Percentage14Rate" on page 216

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/ProposedRate) is removed.

6.21.2 OversubscriptionRate

XML Tag: OvrsbcptRate

Presence: [0..1]

Definition: Rate of allowed over-subscription.

The **OvrsbcptRate** block contains the following elements (see datatype "RateAndAmountFormat59Choice 1" on page 137 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		137
	Amount	<Amt>	Or}	[1..1]	⚠	137

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/OversubscriptionRate) is removed.







6.21.3 RequestedWithholdingTaxRate




XML Tag: ReqdWhldgTaxRate

Presence: [0..*]

Definition: Requested tax rate that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **ReqdWhldgTaxRate** block contains the following elements (see datatype "RateAndAmountFormat55Choice 1" on page 134 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		135
	Amount	<Amt>	Or	[1..1]	 	135
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		135

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/Requested-WithholdingTaxRate) is removed.







6.21.4 RequestedSecondLevelTaxRate


XML Tag: ReqdScndLvITaxRate

Presence: [0..*]

Definition: Requested rate at which the income will be withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible.

The **ReqdScndLvITaxRate** block contains the following elements (see datatype "RateAndAmountFormat55Choice 1" on page 134 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		135
	Amount	<Amt>	Or	[1..1]		135
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		135

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/RequestedSecondLevelTaxRate) is removed.

6.22 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		64
	DateTime	<DtTm>	Or}	[1..1]		64

Used in element(s)

"ExecutionRequestedDateTime" on page 55

6.22.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Specified date.

Datatype: "ISODate" on page 203

6.22.2 DateTime

XML Tag: DtTm







Presence: [1..1]


Definition: Specified date and time.

Datatype: "ISODateTime" on page 204

6.23 DocumentIdentification31__1

Definition: Identification of a document and type of link.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		64
	LinkageType	<LkgTp>		[0..1]		65

Used in element(s)

"CancelledInstructionIdentification" on page 12, "InstructionCancellationRequestIdentification" on page 12

6.23.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.

Datatype: "RestrictedFINXMax16Text" on page 227

Usage Guideline details

- on [seev.033.001.14/CancelledInstructionIdentification/Identification](#)
Type changed to: [RestrictedFINXMax16Text](#) on page 227
- on [seev.033.001.14/InstructionCancellationRequestIdentification/Identification](#)
Type changed to: [RestrictedFINXMax16Text](#) on page 227







6.23.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.







The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice 1](#)" on page 121 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		122
	Proprietary	<Prtry>	Or}	[1..1]		122

6.24 DocumentIdentification32__1

Definition: Identification of a document as well as the document number and type of link.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		65
	DocumentNumber	<DocNb>		[0..1]		66
	LinkageType	<LkgTp>		[0..1]		66

Used in element(s)

["OtherDocumentIdentification"](#) on page 12

6.24.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

The **Id** block contains the following elements (see datatype "DocumentIdentification3Choice__1" on page 66 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]	⚠	67
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]	⚠	67

6.24.2 DocumentNumber

XML Tag: DocNb

Presence: [0..1]

Definition: Identification of the type of document.

The **DocNb** block contains the following elements (see datatype "DocumentNumber5Choice__1" on page 67 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		68
	LongNumber	<LngNb>	Or	[1..1]		68
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		68

6.24.3 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "ProcessingPosition7Choice__1" on page 121 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		122
	Proprietary	<Prtry>	Or}	[1..1]		122

6.25 DocumentIdentification3Choice__1

Definition: Choice between a document identification provided either by the account owner or by the account servicer.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]	⚠	67
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]	⚠	67

Used in element(s)

"Identification" on page 65

6.25.1 AccountServicerDocumentIdentification

XML Tag: AcctSvcrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "RestrictedFINXMax16Text" on page 227

Usage Guideline details

- on seev.033.001.14/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification

Type changed to: RestrictedFINXMax16Text on page 227

6.25.2 AccountOwnerDocumentIdentification

XML Tag: AcctOwnrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "RestrictedFINXMax16Text" on page 227

Usage Guideline details

- on seev.033.001.14/OtherDocumentIdentification/Identification/AccountOwnerDocumentIdentification

Type changed to: RestrictedFINXMax16Text on page 227

6.26 DocumentNumber5Choice__1

Definition: Choice between a short document number, a long document number or a proprietary document number.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		68
	LongNumber	<LngNb>	Or	[1..1]		68
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		68

Rules

R9 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

Error handling: Undefined

Used in element(s)

"DocumentNumber" on page 66

6.26.1 ShortNumber

XML Tag: ShrtNb

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 200

6.26.2 LongNumber

XML Tag: LngNb

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 203

6.26.3 ProprietaryNumber

XML Tag: PrtryNb

Presence: [1..1]

Definition: Proprietary document identification.

The **PrtryNb** block contains the following elements (see datatype "GenericIdentification36_1" on page 94 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	94
	Issuer	<Issr>		[1..1]	⚠	95
	SchemeName	<SchmeNm>		[0..1]	⚠	95

6.27 FinancialInstrumentAttributes132__1

Definition: Description of the financial instrument.

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[0..1]	⚠	70
	PlaceOfListing	<PlcOfListg>		[0..1]	🚫	70
	DayCountBasis	<DayCntBsis>		[0..1]	🚫	71
	ClassificationType	<ClssfctnTp>		[0..1]	🚫	71
	DenominationCurrency	<DnmtnCcy>		[0..1]	🚫	72
	NextCouponDate	<NxtCpnDt>		[0..1]	🚫	72
	ExpiryDate	<XpryDt>		[0..1]	🚫	72
	FloatingRateFixingDate	<FltgRateFxdDt>		[0..1]	🚫	73
	MaturityDate	<MtrtyDt>		[0..1]	🚫	73
	IssueDate	<IsseDt>		[0..1]	🚫	73
	NextCallableDate	<NxtClblDt>		[0..1]	🚫	73
	PutableDate	<PutblDt>		[0..1]	🚫	74
	DatedDate	<DtdDt>		[0..1]	🚫	74
	ConversionDate	<ConvsDt>		[0..1]	🚫	74
	PreviousFactor	<PrvsFctr>		[0..1]	🚫	75
	NextFactor	<NxtFctr>		[0..1]	🚫	75
	InterestRate	<IntrstRate>		[0..1]	🚫	75
	NextInterestRate	<NxtIntrstRate>		[0..1]	🚫	76
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	🚫	76
	ContractSize	<CtrctSz>		[0..1]	🚫	76

Rules

R13 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

Error handling: Undefined

Used in element(s)

"UnderlyingSecurity" on page 45







6.27.1 FinancialInstrumentIdentification





XML Tag: FinInstrmId

Presence: [0..1]

Definition: Identification of a financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19_1" on page 145 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		146
	OtherIdentification	<OthrId>		[0..*]	 R[0..1]	147
	Description	<Desc>		[0..1]	 	148

Usage Guideline details

- on seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification
Synonym (DTCC): Event Security ID and Type
- on seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification
Comment:
 Security identifier and type of the event issue.







6.27.2 PlaceOfListing


XML Tag: PlcOfListg

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

The **PlcOfListg** block contains the following elements (see datatype "MarketIdentification3Choice_1" on page 102 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktIdrCd>	{Or	[1..1]		102
	Description	<Desc>	Or}	[1..1]		102

Usage Guideline details

- This element(see v.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/Place-OfListing) is removed.







6.27.3 DayCountBasis

XML Tag: DayCntBsis

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

The **DayCntBsis** block contains the following elements (see datatype "InterestComputationMethodFormat4Choice 1" on page 101 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		101
	Proprietary	<Prtry>	Or}	[1..1]		101

Usage Guideline details

- This element(see v.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/Day-CountBasis) is removed.







6.27.4 ClassificationType

XML Tag: ClssfctnTp

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

The **ClssfctnTp** block contains the following elements (see datatype "ClassificationType32Choice 1" on page 31 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]		31

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]		31

Usage Guideline details

- This element(seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/ClassificationType) is removed.

6.27.5 DenominationCurrency

XML Tag: DnmtnCcy

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Datatype: "ActiveOrHistoricCurrencyCode" on page 152

Usage Guideline details

- This element(seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/DenominationCurrency) is removed.

6.27.6 NextCouponDate

XML Tag: NxtCpnDt

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: "ISODate" on page 203

Usage Guideline details

- This element(seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/NextCouponDate) is removed.

6.27.7 ExpiryDate

XML Tag: XpryDt

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: "ISODate" on page 203

Usage Guideline details

- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/Expiry-Date](#)) is removed.

6.27.8 FloatingRateFixingDate

XML Tag: FltgRateFxdDt

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: ["ISODate" on page 203](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FloatingRateFixingDate](#)) is removed.

6.27.9 MaturityDate

XML Tag: MtrtyDt

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: ["ISODate" on page 203](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/MaturityDate](#)) is removed.

6.27.10 IssueDate

XML Tag: IsseDt

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: ["ISODate" on page 203](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/Issue-Date](#)) is removed.

6.27.11 NextCallableDate

XML Tag: NxtCllblDt

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: ["ISODate" on page 203](#)

Usage Guideline details

- This element([see v.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/NextCallableDate](#)) is removed.

6.27.12 PutableDate

XML Tag: PutblDt

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: ["ISODate" on page 203](#)

Usage Guideline details

- This element([see v.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/PutableDate](#)) is removed.

6.27.13 DatedDate

XML Tag: DtdDt

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: ["ISODate" on page 203](#)

Usage Guideline details

- This element([see v.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/DatedDate](#)) is removed.

6.27.14 ConversionDate

XML Tag: ConvsDt

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: ["ISODate" on page 203](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/ConversionDate](#)) is removed.

6.27.15 PreviousFactor

XML Tag: PrvsFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

Datatype: ["BaseOne14Rate"](#) on page 156

Usage Guideline details

- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/PreviousFactor](#)) is removed.

6.27.16 NextFactor

XML Tag: NxtFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

Datatype: ["BaseOne14Rate"](#) on page 156

Usage Guideline details

- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/NextFactor](#)) is removed.

6.27.17 InterestRate

XML Tag: IntrstRate

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

Datatype: ["Percentage14Rate"](#) on page 216

Usage Guideline details

- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/InterestRate](#)) is removed.

6.27.18 NextInterestRate

XML Tag: NxtIntrstRate

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

Datatype: "Percentage14Rate" on page 216

Usage Guideline details

- This element(seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/NextInterestRate) is removed.

6.27.19 MinimumNominalQuantity

XML Tag: MinNmnlQty

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

The **MinNmnlQty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice 1" on page 77 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	77
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	81
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		89

Usage Guideline details

- This element(seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity) is removed.

6.27.20 ContractSize




XML Tag: CtrctSz

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

The **CtrctSz** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice 1" on page 77 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		77
	FaceAmount	<FaceAmt>	Or	[1..1]		81
	AmortisedValue	<AmtsdVal>	Or	[1..1]		85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		89






Usage Guideline details

- This element(seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize) is removed.

6.28 FinancialInstrumentQuantity33Choice__1

Definition: Choice between formats for the quantity of security.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		77
	FaceAmount	<FaceAmt>	Or	[1..1]		81
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		89

Rules

R20 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"AdditionalRoundUpQuantity" on page 144, "ConditionalQuantity" on page 142, "ContractSize" on page 76, "FinancialInstrumentQuantity" on page 28, "MinimumNominalQuantity" on page 76, "OwnedSecuritiesQuantity" on page 114, "Quantity" on page 149, "Quantity" on page 130, "Quantity" on page 134

6.28.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 226

Usage Guideline details

- on seev.033.001.14/AccountDetails/Balance/TotalEligibleBalance/Quantity-Choice/SignedQuantity/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/CollateralInBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)

- on **seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/AdditionalRoundUpQuantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/RegisteredBalance/Balance/Quantity-Choice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/Balance/Quantity-Choice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/BeneficialOwnerDetails/OwnedSecuritiesQuantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 226

- on seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/ConditionalQuantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BlockedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)

- on seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/Quantity/Unit
 - Market Practice Rule:

Used when the security is non-debt

6.28.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 226](#)

Usage Guideline details

- on seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/BeneficialOwnerDetails/OwnedSecuritiesQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceived-PerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BlockedBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/ConditionalQuantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/RegisteredBalance/Balance/Quantity-Choice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/AdditionalRoundUpQuantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/TotalEligibleBalance/Quantity-Choice/SignedQuantity/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on `seev.033.001.14/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on `seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on `seev.033.001.14/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on `seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on `seev.033.001.14/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on `seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/FaceAmount`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on `seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/Quantity/FaceAmount`
 - **Market Practice Rule:**

Used when the security is debt

6.28.3 AmortisedValue

XML Tag: `AmtsdVal`

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 226](#)

Usage Guideline details

- This element(`seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/ConditionalQuantity/AmortisedValue`) is removed.
- This element(`seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/Quantity/AmortisedValue`) is removed.
- on `seev.033.001.14/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on seev.033.001.14/AccountDetails/Balance/CollateralInBalance/Balance/Quantity-Choice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/ConditionalQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/AdditionalRoundUpQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on **seev.033.001.14/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/TotalEligibleBalance/Quantity-Choice/SignedQuantity/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/BeneficialOwnerDetails/OwnedSecuritiesQuantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/BlockedBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

- on **seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)
- on **seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

6.28.4 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 212](#)

Usage Guideline details

- This element(**seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/ConditionalQuantity/DigitalTokenUnit**) is removed.
- This element(**seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/Quantity/DigitalTokenUnit**) is removed.

6.29 FractionDispositionType28Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		90
	Proprietary	<Prtry>	Or}	[1..1]		90

Used in element(s)

"FractionDisposition" on page 52

6.29.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType10Code" on page 201







6.29.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 90 for details)


 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

6.30 GenericIdentification30__1

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

Used in element(s)

"Proprietary" on page 122, "Proprietary" on page 41, "Proprietary" on page 101, "Proprietary" on page 100, "Proprietary" on page 30, "Proprietary" on page 58, "Proprietary" on page 104, "Proprietary" on page 90, "Proprietary" on page 38, "Proprietary" on page 138, "Type" on page 97

6.30.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 200

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/OptionType/Proprietary/Identification](#)
 - **Market Practice Usage Rule:**

Use for VOTE events only. See Data Dictionary for list of code values.

6.30.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 213

Usage Guideline details

- on [seev.033.001.14/CancelledInstructionIdentification/LinkageType/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionInstruction/OptionType/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/AccountDetails/SafekeepingPlace/Proprietary/Type/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 213](#)

- on seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/RequestedWithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/CorporateActionGeneralInformation/EventType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/BeneficialOwnerDetails/OwnerIdentification/IndividualOwnerIdentification/IdentificationType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/CorporateActionInstruction/FractionDisposition/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/BeneficialOwnerDetails/AlternateIdentification/IdentificationType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/EventsLinkage/LinkageType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/InstructionCancellationRequestIdentification/LinkageType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/OtherDocumentIdentification/LinkageType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/CorporateActionInstruction/OptionFeatures/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/RequestedSecondLevelTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/CorporateActionInstruction/ChangeType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/BeneficialOwnerDetails/CertificationType/Proprietary/Issuer
Type changed to: Max4AlphaNumericText on page 213
 - on seev.033.001.14/CorporateActionInstruction/OptionType/Proprietary/Issuer
 - **Market Practice Usage Rule:**
- Use for VOTE events only. Populated with "DTCC".

6.30.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 213](#)

Usage Guideline details

- on [seev.033.001.14/BeneficialOwnerDetails/CertificationType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/AccountDetails/SafekeepingPlace/Proprietary/Type/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionInstruction/ChangeType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/InstructionCancellationRequestIdentification/LinkageType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionInstruction/OptionType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/EventsLinkage/LinkageType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/RequestedWithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionInstruction/OptionFeatures/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CancelledInstructionIdentification/LinkageType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionGeneralInformation/EventType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/BeneficialOwnerDetails/OwnerIdentification/IndividualOwnerIdentification/IdentificationType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/BeneficialOwnerDetails/AlternateIdentification/IdentificationType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/RequestedSecondLevelTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/OtherDocumentIdentification/LinkageType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionInstruction/FractionDisposition/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/CorporateActionInstruction/OptionType/Proprietary/SchemeName](#)
– **Market Practice Usage Rule:**

Use for VOTE events only. Populated with "VOTE".

6.31 GenericIdentification36__1

Definition: Identification using a proprietary scheme.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	94
	Issuer	<Issr>		[1..1]	⚠	95
	SchemeName	<SchmeNm>		[0..1]	⚠	95

Used in element(s)

["AlternateClassification" on page 31](#), ["ProprietaryNumber" on page 68](#)

6.31.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax30Text" on page 228](#)

Usage Guideline details

- on [seev.033.001.14/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 228](#)
- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 228](#)

6.31.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 213

Usage Guideline details

- on seev.033.001.14/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Issuer
Type changed to: Max4AlphaNumericText on page 213
- on seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/Issuer
Type changed to: Max4AlphaNumericText on page 213

6.31.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max4AlphaNumericText" on page 213

Usage Guideline details

- on seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/SchemeName
Type changed to: Max4AlphaNumericText on page 213
- on seev.033.001.14/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/SchemeName
Type changed to: Max4AlphaNumericText on page 213

6.32 GenericIdentification36__2

Definition: Identification using a proprietary scheme.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	96
	Issuer	<Issr>		[1..1]	⚠	96
	SchemeName	<SchmeNm>		[0..1]	⚠	96

Used in element(s)

["ProprietaryIdentification" on page 110](#), ["ProprietaryIdentification" on page 111](#)

6.32.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax34Text" on page 229](#)

Usage Guideline details

- on [seev.033.001.14/BeneficialOwnerDetails/OwnerIdentification/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 229](#)
- on [seev.033.001.14/AccountDetails/AccountOwner/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 229](#)

6.32.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 213](#)

Usage Guideline details

- on [seev.033.001.14/BeneficialOwnerDetails/OwnerIdentification/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 213](#)
- on [seev.033.001.14/AccountDetails/AccountOwner/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 213](#)

6.32.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.







Datatype: ["Max4AlphaNumericText" on page 213](#)


Usage Guideline details

- on [seev.033.001.14/BeneficialOwnerDetails/OwnerIdentification/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 213
- on [seev.033.001.14/AccountDetails/AccountOwner/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 213

6.33 GenericIdentification78__1

Definition: Identification expressed as a proprietary type and narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		97
	Identification	<Id>		[0..1]		97

Used in element(s)

["Proprietary" on page 140](#)







6.33.1 Type



XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype ["GenericIdentification30__1" on page 90](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

6.33.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: ["RestrictedFINXMax30Text" on page 228](#)

Usage Guideline details

- on [seev.033.001.14/AccountDetails/SafekeepingPlace/Proprietary/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 228](#)

6.34 IdentificationSource3Choice__1

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]	⚠	98
	Proprietary	<Prtry>	Or}	[1..1]	🚫 ⚠	98

Used in element(s)

["Type" on page 109](#)

6.34.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: ["ExternalFinancialInstrumentIdentificationType1Code" on page 200](#)

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/SecurityIdentification/OtherIdentification/Type/Code](#)
Single value: CUSP
- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Code](#)
Comment:
Must be CUSP or UKWN

6.34.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: ["RestrictedFINExact2Text" on page 226](#)

Usage Guideline details







- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)) is removed.
- on [seev.033.001.14/CorporateActionInstruction/SecurityIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text](#) on page 226
- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text](#) on page 226
- on [seev.033.001.14/CorporateActionInstruction/SecurityIdentification/OtherIdentification/Type/Proprietary](#)
 - Market Practice Usage Rule:

Must use "XX" to identify contra CUSIP.
- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
 - Market Practice Usage Rule:

Used when the security identifier type is a Contra CUSIP. Populated with "XX".
- on [seev.033.001.14/CorporateActionInstruction/SecurityIdentification/OtherIdentification/Type/Proprietary](#)
Single value: XX

6.35 IdentificationType42Choice__1

Definition: Choice between a code and a data source scheme to specify the type of alternate identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		99
	Proprietary	<Prtry>	Or}	[1..1]		100

Used in element(s)

["IdentificationType" on page 24](#), ["IdentificationType" on page 23](#)

6.35.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 232







6.35.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 90 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

6.36 IndicativeOrMarketPrice13Choice__1

Definition: Choice between an indicative price or a market price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		100
	MarketPrice	<MktPric>	Or}	[1..1]		101

Used in element(s)

"IndicativeOrMarketPrice" on page 59







6.36.1 IndicativePrice

XML Tag: IndctvPric

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

The **IndctvPric** block contains the following elements (see datatype "PriceFormat77Choice_1" on page 118 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		118
	AmountPrice	<AmtPric>	Or}	[1..1]		119







6.36.2 MarketPrice

XML Tag: MktPric

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.







The **MktPric** block contains the following elements (see datatype "PriceFormat77Choice 1" on page 118 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		118
	AmountPrice	<AmtPric>	Or}	[1..1]		119

6.37 InterestComputationMethodFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of interest computation method.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		101
	Proprietary	<Prtry>	Or}	[1..1]		101

Used in element(s)

"DayCountBasis" on page 71

6.37.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the method used to compute accruing interest of a financial instrument.

Datatype: "InterestComputationMethod2Code" on page 204







6.37.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the format of interest computation method.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on [page 90](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

6.38 MarketIdentification3Choice__1

Definition: Choice of market identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktldrCd>	{Or	[1..1]		102
	Description	<Desc>	Or}	[1..1]		102

Used in element(s)

"[PlaceOfListing](#)" on [page 70](#)

6.38.1 MarketIdentifierCode

XML Tag: MktldrCd

Presence: [1..1]

Definition: Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

Datatype: "[MICIdentifier](#)" on [page 212](#)

6.38.2 Description

XML Tag: Desc

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.







Datatype: "[RestrictedFINXMax30Text](#)" on [page 228](#)



Usage Guideline details

- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/PlaceOfListing/Description](#)
Type changed to: [RestrictedFINXMax30Text](#) on page 228

6.39 NameAndAddress5__1

Definition: Information that locates and identifies a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		103
	Address	<Adr>		[0..1]		103

Used in element(s)

"NameAndAddress" on page 111

6.39.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["RestrictedFINXMax140Text"](#) on page 227

Usage Guideline details

- on [seev.033.001.14/BeneficialOwnerDetails/OwnerIdentification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 227







6.39.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype ["PostalAddress1"](#) on page 116 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		117
	AddressLine	<AdrLine>		[0..5]		117
	StreetName	<StrtNm>		[0..1]		117
	BuildingNumber	<BldgNb>		[0..1]		117
	PostCode	<PstCd>		[0..1]		117
	TownName	<TwnNm>		[0..1]		117
	CountrySubDivision	<CtrySubDvsn>		[0..1]		118
	Country	<Ctry>		[1..1]		118


Usage Guideline details

- This element(seev.033.001.14/BeneficialOwnerDetails/OwnerIdentification/NameAndAddress/Address) is removed.

6.40 OptionFeaturesFormat25Choice__1

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		104
	Proprietary	<Prtry>	Or}	[1..1]		104

Used in element(s)

"OptionFeatures" on page 52

6.40.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "OptionFeatures12Code" on page 214

6.40.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on page 90 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]	⚠	91
	SchemeName	<SchmeNm>		[0..1]	⚠	92

Usage Guideline details

- This element([see v.033.001.14/CorporateActionInstruction/OptionFeatures/Proprietary](#)) is removed.

6.41 OptionNumber1Choice

Definition: Choice between an number and an option number in a code format.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Number	<Nb>	{Or	[1..1]		105
	Code	<Cd>	Or}	[1..1]	🚫	105

Used in element(s)

"[OptionNumber](#)" on page 50

6.41.1 Number

XML Tag: Nb

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 200

6.41.2 Code

XML Tag: Cd

Presence: [1..1]

Definition: Code identifying special corporate action option numbers.







Datatype: "[OptionNumber1Code](#)" on page 216



Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/OptionNumber/Code](#)) is removed.

6.42 OriginalAndCurrentQuantities1__1

Definition: Original and current value of an asset-back instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		106
	AmortisedValue	<AmtsdVal>		[1..1]		106

Used in element(s)

"OriginalAndCurrentFaceAmount" on page 133

6.42.1 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 226](#)

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/OriginalAndCurrentFaceAmount/FaceAmount](#)
 Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

6.42.2 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 226](#)







Usage Guideline details



- on [seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/OriginalAndCurrentFaceAmount/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

6.43 OriginalAndCurrentQuantities6__1

Definition: Signed face amount and amortised value.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		107
	FaceAmount	<FaceAmt>		[1..1]		107
	AmortisedValue	<AmtsdVal>		[1..1]		107

Used in element(s)

"OriginalAndCurrentFaceAmount" on page 132

6.43.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 231](#)

6.43.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 226](#)

Usage Guideline details

- on [seev.033.001.14/AccountDetails/Balance/TotalEligibleBalance/QuantityChoice/OriginalAndCurrentFaceAmount/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

6.43.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 226](#)







Usage Guideline details




- on [seev.033.001.14/AccountDetails/Balance/TotalEligibleBalance/QuantityChoice/OriginalAndCurrentFaceAmount/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 226](#)

6.44 OtherIdentification1__1

Definition: Other accepted financial instrument's identification than ISIN.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		108
	Suffix	<Sfx>		[0..1]		109
	Type	<Tp>		[1..1]		109

Used in element(s)

["OtherIdentification" on page 147](#)

6.44.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: ["RestrictedFINXMax31Text" on page 229](#)

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/SecurityIdentification/OtherIdentification/Identification](#)

Type changed to: [RestrictedFINXMax31Text on page 229](#)

- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Identification](#)

Type changed to: [RestrictedFINXMax31Text on page 229](#)

- on [seev.033.001.14/CorporateActionInstruction/SecurityIdentification/OtherIdentification/Identification](#)

Synonym (DTCC): Contra CUSIP

- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Identification](#)

Synonym (DTCC): Event Security ID

- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Identification](#)

Comment:

Security identification of the event security.

6.44.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 212

Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/SecurityIdentification/OtherIdentification/Suffix](#)) is removed.
- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.







6.44.3 Type




XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "[IdentificationSource3Choice 1](#)" on page 98 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		98
	Proprietary	<Prtry>	Or}	[1..1]	 	98

Usage Guideline details

- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type](#)
Synonym (DTCC): Event Security ID Type
- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type](#)

Comment:

Identifier type of the event security.

6.45 PartyIdentification127Choice__1

Definition: Choice of identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		110
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		110

Used in element(s)

"AccountOwner" on page 21

6.45.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 155

6.45.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.







The **PrtryId** block contains the following elements (see datatype "GenericIdentification36__2" on page 95 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	96
	Issuer	<Issr>		[1..1]	⚠	96
	SchemeName	<SchmeNm>		[0..1]	⚠	96

6.46 PartyIdentification263Choice__1

Definition: Choice between different formats for the identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		111
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		111
	NameAndAddress	<NmAndAdr>	Or	[1..1]		111
	IndividualOwnerIdentification	<IndvOwnrId>	Or	[1..1]		112
	LEI	<LEI>	Or}	[1..1]		112

Used in element(s)

"OwnerIdentification" on page 113

6.46.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 155







6.46.2 ProprietaryIdentification




XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36__2](#)" on page 95 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		96
	Issuer	<Issr>		[1..1]		96
	SchemeName	<SchmeNm>		[0..1]		96







6.46.3 NameAndAddress



XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5_1](#)" on [page 103](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		103
	Address	<Adr>		[0..1]		103







6.46.4 IndividualOwnerIdentification

XML Tag: IndvOwnrId

Presence: [1..1]

Definition: Individual local country specific identification of the owner agreed between the account servicer and account owner.

The **IndvOwnrId** block contains the following elements (see datatype "[AlternatePartyIdentification7_1](#)" on [page 24](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		24
	Country	<Ctry>		[1..1]		24
	AlternateIdentification	<AltrnId>		[1..1]		25

6.46.5 LEI

XML Tag: LEI







Presence: [1..1]


Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on [page 211](#)

6.47 PartyIdentification340__1

Definition: Provides information about the beneficial owner of the securities.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OwnerIdentification	<Ownrld>		[1..1]		113
	AlternateIdentification	<Altrnld>		[0..*]		113
	DomicileCountry	<DmclCtry>		[0..1]		114
	NonDomicileCountry	<NonDmclCtry>		[0..*]		114
	OwnedSecuritiesQuantity	<OwndScitiesQty>		[1..1]		114
	CertificationType	<CertfctnTp>		[0..*]		114
	WithholdingTaxRate	<WhldgTaxRate>		[0..1]		115
	CertificationBreakdown	<CertfctnBrkdwn>		[0..*]		115

Used in element(s)

"BeneficialOwnerDetails" on page 14







6.47.1 OwnerIdentification

XML Tag: Ownrld

Presence: [1..1]

Definition: Party that is the beneficial owner of the specified quantity of securities.

The **Ownrld** block contains the following elements (see datatype "PartyIdentification263Choice 1" on page 111 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		111
	ProprietaryIdentification	<Prtryld>	Or	[1..1]		111
	NameAndAddress	<NmAndAdr>	Or	[1..1]		111
	IndividualOwnerIdentification	<IndvOwnrld>	Or	[1..1]		112
	LEI	<LEI>	Or}	[1..1]		112







6.47.2 AlternateIdentification


XML Tag: Altrnld

Presence: [0..*]

Definition: Alternate identification for a party.

The **Altrnld** block contains the following elements (see datatype "AlternatePartyIdentification12 1" on page 22 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		23
	Country	<Ctry>		[1..1]		23
	AlternatIdentification	<AltrnId>		[1..1]		23
	LEI	<LEI>		[0..1]		24

6.47.3 DomicileCountry

XML Tag: DmclCtry

Presence: [0..1]

Definition: Country in which a person is permanently domiciled (the place of a person's permanent home).

Datatype: "CountryCode" on page 199

6.47.4 NonDomicileCountry

XML Tag: NonDmclCtry

Presence: [0..*]

Definition: Holder of the security certifies, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Datatype: "CountryCode" on page 199






6.47.5 OwnedSecuritiesQuantity


XML Tag: OwndSciesQty




Presence: [1..1]

Definition: Quantity of securities belonging to the beneficial owner specified.

The **OwndSciesQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice 1](#)" on page 77 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -

 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		77
	FaceAmount	<FaceAmt>	Or	[1..1]		81
	AmortisedValue	<AmtsdVal>	Or	[1..1]		85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		89







6.47.6 CertificationType

XML Tag: CertfctnTp

Presence: [0..*]

Definition: Type of certification which is required.

The **CertfctnTp** block contains the following elements (see datatype "[BeneficiaryCertification-Type10Choice 1](#)" on page 30 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		30
	Proprietary	<Prtry>	Or}	[1..1]		30







6.47.7 WithholdingTaxRate


XML Tag: WhldgTaxRate

Presence: [0..1]

Definition: Requested percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFormat57Choice 1](#)" on page 136 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		136
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		136
	Amount	<Amt>	Or}	[1..1]		136

6.47.8 CertificationBreakdown

XML Tag: CertfctnBrkdown

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Datatype: "[RestrictedFINXMax350Text](#)" on page 229

Usage Guideline details

- on [seev.033.001.14/BeneficialOwnerDetails/CertificationBreakdown](#)
Type changed to: [RestrictedFINXMax350Text](#) on page 229

6.48 PercentagePrice2

Definition: Price expressed as a percentage price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		116
	PriceValue	<PricVal>		[1..1]		116

Used in element(s)

"PercentagePrice" on page 118, "PercentagePrice" on page 119

6.48.1 PercentagePriceType

XML Tag: PctgPricTp

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 217

6.48.2 PriceValue

XML Tag: PricVal







Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 216

6.49 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		117
	AddressLine	<AdrLine>		[0..5]		117
	StreetName	<StrtNm>		[0..1]		117
	BuildingNumber	<BldgNb>		[0..1]		117
	PostCode	<PstCd>		[0..1]		117
	TownName	<TwnNm>		[0..1]		117
	CountrySubDivision	<CtrySubDvsn>		[0..1]		118
	Country	<Ctry>		[1..1]		118

Used in element(s)

["Address" on page 103](#)

6.49.1 **AddressType**

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: ["AddressType2Code" on page 153](#)

6.49.2 **AddressLine**

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 213](#)

6.49.3 **StreetName**

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: ["Max70Text" on page 213](#)

6.49.4 **BuildingNumber**

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: ["Max16Text" on page 212](#)

6.49.5 **PostCode**

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: ["Max16Text" on page 212](#)

6.49.6 **TownName**

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 213

6.49.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 213

6.49.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 199

6.50 PriceFormat77Choice__1

Definition: Choice between a percentage price or an amount price.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		118
	AmountPrice	<AmtPric>	Or}	[1..1]		119

Used in element(s)

"GenericCashPricePaidPerProduct" on page 61, "IndicativePrice" on page 100, "IssuePrice" on page 60, "MarketPrice" on page 101

6.50.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "PercentagePrice2" on page 115 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		116
	PriceValue	<PricVal>		[1..1]		116







6.50.2 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.







The **AmtPric** block contains the following elements (see datatype "AmountPrice3_1" on page 25 for details)




 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		25
	PriceValue	<PricVal>		[1..1]		25

6.51 PriceFormat78Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		119
	AmountPrice	<AmtPric>	Or	[1..1]		120
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		120
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		120
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]		121
	IndexPoints	<IndxPts>	Or}	[1..1]		121

Used in element(s)

"GenericCashPriceReceivedPerProduct" on page 60







6.51.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "PercentagePrice2" on page 115 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		116
	PriceValue	<PricVal>		[1..1]		116







6.51.2 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice3_1" on page 25 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		25
	PriceValue	<PricVal>		[1..1]		25

6.51.3 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType9Code" on page 218







6.51.4 AmountPricePerFinancialInstrumentQuantity


XML Tag: AmtPricPerFinInstrmQty

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

The **AmtPricPerFinInstrmQty** block contains the following elements (see datatype "AmountPricePerFinancialInstrumentQuantity10_1" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		27
	PriceValue	<PricVal>		[1..1]		28
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		28

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity) is removed.

6.51.5 AmountPricePerAmount

XML Tag: AmtPricPerAmt

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

The **AmtPricPerAmt** block contains the following elements (see datatype "AmountPricePerAmount2_1" on page 26 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		26
	PriceValue	<PricVal>		[1..1]	⚠	26
	Amount	<Amt>		[1..1]	⚠	27

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount) is removed.

6.51.6 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 226

Usage Guideline details

- on seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints

Type changed to: RestrictedFINDecimalNumber on page 226

6.52 ProcessingPosition7Choice__1

Definition: Choice of format for the processing position.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		122
	Proprietary	<Prtry>	Or}	[1..1]		122

Rules

R8 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

Error handling: Undefined

Used in element(s)

["LinkageType" on page 65](#), ["LinkageType" on page 66](#), ["LinkageType" on page 40](#)

6.52.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: ["ProcessingPosition3Code" on page 220](#)







6.52.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30_1" on page 90](#) for details)




 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

6.53 ProprietaryQuantity7__1

Definition: Proprietary quantity format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		123
	Quantity	<Qty>		[1..1]		123
	QuantityType	<QtyTp>		[1..1]		123
	Issuer	<Issr>		[1..1]		123
	SchemeName	<SchmeNm>		[0..1]		124

Used in element(s)

"ProprietaryQuantity" on page 131

6.53.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [0..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 231

6.53.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 226

Usage Guideline details

- on [seev.033.001.14/AccountDetails/Balance/TotalEligibleBalance/ProprietaryQuantity/Quantity](#)
Type changed to: [RestrictedFINDecimalNumber on page 226](#)

6.53.3 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 200

6.53.4 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max4AlphaNumericText" on page 213

Usage Guideline details

- on seev.033.001.14/AccountDetails/Balance/TotalEligibleBalance/ProprietaryQuantity/Issuer
Type changed to: Max4AlphaNumericText on page 213

6.53.5 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 213

Usage Guideline details

- on seev.033.001.14/AccountDetails/Balance/TotalEligibleBalance/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 213

6.54 ProprietaryQuantity8__1

Definition: Proprietary quantity format.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]	⚠	124
	QuantityType	<QtyTp>		[1..1]		126
	Issuer	<Issr>		[1..1]	⚠	126
	SchemeName	<SchmeNm>		[0..1]	⚠	127

Used in element(s)

"ProprietaryQuantity" on page 130

6.54.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 226

Usage Guideline details

- on **seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 226
- on **seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity**

Type changed to: [RestrictedFINDecimalNumber on page 226](#)

- on [seev.033.001.14/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 226](#)

6.54.2 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: ["Exact4AlphaNumericText" on page 200](#)

6.54.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max4AlphaNumericText" on page 213](#)

Usage Guideline details

- on [seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

6.54.4 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max4AlphaNumericText" on page 213](#)

Usage Guideline details

- on [seev.033.001.14/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 213](#)

- on [seev.033.001.14/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName](#)

Type changed to: Max4AlphaNumericText on page 213

- on seev.033.001.14/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: Max4AlphaNumericText on page 213

- on seev.033.001.14/AccountDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: Max4AlphaNumericText on page 213

- on seev.033.001.14/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: Max4AlphaNumericText on page 213

- on seev.033.001.14/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: Max4AlphaNumericText on page 213

- on seev.033.001.14/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: Max4AlphaNumericText on page 213

- on seev.033.001.14/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: Max4AlphaNumericText on page 213

- on seev.033.001.14/AccountDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: Max4AlphaNumericText on page 213

- on seev.033.001.14/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: Max4AlphaNumericText on page 213

- on seev.033.001.14/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName


Type changed to: Max4AlphaNumericText on page 213

6.55 ProtectInstruction1__1

Definition: Provides detailed information on protect and cover protect instructions.

❌ Removed - ⊖ Partial Removed - ◇ Ignored - ⊕ Partial Ignored - ⚠️ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TransactionType	<TxTp>		[1..1]		129
	TransactionIdentification	<TxId>		[0..1]	⚠️	129

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProtectSafekeepingAccount	<PrcttSfkpgAcct>		[0..1]		129
	ProtectDate	<PrcttDt>		[0..1]		129

Used in element(s)

["ProtectInstruction" on page 16](#)

6.55.1 TransactionType

XML Tag: TxTp

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: ["ProtectTransactionType2Code" on page 221](#)

6.55.2 TransactionIdentification

XML Tag: TxId

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: ["RestrictedFINMax15Text" on page 226](#)

Usage Guideline details

- on [seev.033.001.14/ProtectInstruction/TransactionIdentification](#)
Type changed to: [RestrictedFINMax15Text on page 226](#)

6.55.3 ProtectSafekeepingAccount

XML Tag: PrcttSfkpgAcct

Presence: [0..1]

Definition: Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.

Datatype: ["RestrictedFINMax35Text" on page 227](#)

Usage Guideline details

- on [seev.033.001.14/ProtectInstruction/ProtectSafekeepingAccount](#)
Type changed to: [RestrictedFINMax35Text on page 227](#)

6.55.4 ProtectDate

XML Tag: PrcttDt

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: ["ISODate" on page 203](#)

6.56 Quantity48Choice__1

Definition: Choice between different quantity of security formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		130
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		130

Used in element(s)

["QuantityChoice" on page 149](#)

6.56.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity33Choice__1" on page 77](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	77
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	81
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		89

6.56.2 ProprietaryQuantity




XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.







The **PrtryQty** block contains the following elements (see datatype ["ProprietaryQuantity8__1" on page 124](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		124
	QuantityType	<QtyTp>		[1..1]		126
	Issuer	<Issr>		[1..1]		126
	SchemeName	<SchmeNm>		[0..1]		127

6.57 Quantity49Choice__1

Definition: Choice between different quantity of security formats.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		131
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		131

Used in element(s)

"TotalEligibleBalance" on page 32







6.57.1 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "[Quantity50Choice__1](#)" on page 132 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]		132
	SignedQuantity	<SgndQty>	Or}	[1..1]		132

6.57.2 ProprietaryQuantity




XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.







The **PrtryQty** block contains the following elements (see datatype "[ProprietaryQuantity7__1](#)" on page 122 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		123
	Quantity	<Qty>		[1..1]		123
	QuantityType	<QtyTp>		[1..1]		123
	Issuer	<Issr>		[1..1]		123
	SchemeName	<SchmeNm>		[0..1]		124

6.58 Quantity50Choice__1

Definition: Choice between different quantity of security formats.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]		132
	SignedQuantity	<SgndQty>	Or}	[1..1]		132

Used in element(s)

"QuantityChoice" on page 131







6.58.1 OriginalAndCurrentFaceAmount



XML Tag: OrgnlAndCurFaceAmt

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

The **OrgnlAndCurFaceAmt** block contains the following elements (see datatype "OriginalAndCurrentQuantities6__1" on page 107 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		107
	FaceAmount	<FaceAmt>		[1..1]		107
	AmortisedValue	<AmtsdVal>		[1..1]		107







6.58.2 SignedQuantity

XML Tag: SgndQty

Presence: [1..1]

Definition: Signed quantity of security.







The **SgndQty** block contains the following elements (see datatype "[SignedQuantityFormat10_1](#)" on [page 148](#) for details)



 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		148
	Quantity	<Qty>		[1..1]		149

6.59 Quantity52Choice__1

Definition: Choice between different formats of securities quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		133
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	Or	[1..1]		133
	Quantity	<Qty>	Or}	[1..1]		134

Used in element(s)

"[InstructedQuantity](#)" on [page 143](#)

6.59.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify quantity of a financial instrument.

Datatype: "[Quantity1Code](#)" on [page 221](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/Code](#)) is removed.

6.59.2 OriginalAndCurrentFaceAmount

XML Tag: OrgnlAndCurFaceAmt

Presence: [1..1]

Definition: Face amount and amortised value of security.

The **OrgnlAndCurFaceAmt** block contains the following elements (see datatype "OriginalAndCurrentQuantities1__1" on page 106 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]	⚠	106
	AmortisedValue	<AmtsdVal>		[1..1]	⚠	106

Usage Guideline details

- This element(seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity/OriginalAndCurrentFaceAmount) is removed.

6.59.3 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 77 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	77
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	81
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]	🚫	89

6.60 RateAndAmountFormat55Choice__1

Definition: Choice of format between a rate, or a rate type and rate, or an amount, or a unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		135
	Amount	<Amt>	Or	[1..1]	🚫 ⚠	135
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]	🚫	135

Used in element(s)

["RequestedSecondLevelTaxRate" on page 63](#), ["RequestedWithholdingTaxRate" on page 62](#)

6.60.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 216](#)

6.60.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 224](#)

Usage Guideline details

- **This element([seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/RequestedWithholdingTaxRate/Amount](#)) is removed.**
- **on [seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/RequestedSecondLevelTaxRate/Amount](#)**
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 224](#)
- **on [seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/RequestedWithholdingTaxRate/Amount](#)**
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 224](#)







6.60.3 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

The **RateTpAndRate** block contains the following elements (see datatype ["RateTypeAndPercentageRate12_1" on page 138](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		139
	Rate	<Rate>		[1..1]		139


Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/Requested-WithholdingTaxRate/RateTypeAndRate](#)) is removed.

6.61 RateAndAmountFormat57Choice__1

Definition: Choice of format between a rate, an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		136
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		136
	Amount	<Amt>	Or}	[1..1]		136

Used in element(s)

["WithholdingTaxRate"](#) on page 115

6.61.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate"](#) on page 216

6.61.2 NotSpecifiedRate

XML Tag: NotSpctdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code"](#) on page 224

6.61.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 224







Usage Guideline details


- on [seev.033.001.14/BeneficialOwnerDetails/WithholdingTaxRate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 224](#)

6.62 RateAndAmountFormat59Choice__1

Definition: Choice of format between rate and amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		137
	Amount	<Amt>	Or}	[1..1]		137

Used in element(s)

"OversubscriptionRate" on page 62

6.62.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 216

6.62.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 224







Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/RateAndAmountDetails/Oversubscription-Rate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 224](#)

6.63 RateType42Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		138
	Proprietary	<Prtry>	Or}	[1..1]		138

Used in element(s)

"RateType" on page 139

6.63.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 233







6.63.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 90 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		91
	Issuer	<Issr>		[1..1]		91
	SchemeName	<SchmeNm>		[0..1]		92

6.64 RateTypeAndPercentageRate12__1

Definition: Specifies the value expressed as a rate type and a percentage rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		139
	Rate	<Rate>		[1..1]		139

Used in element(s)

"RateTypeAndRate" on page 135







6.64.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType42Choice__1](#)" on page 137 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		138
	Proprietary	<Prtry>	Or}	[1..1]		138

6.64.2 Rate

XML Tag: Rate







Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 216

6.65 SafekeepingPlaceFormat42Choice__1

Definition: Choice of formats for a place of safekeeping.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		139
	Country	<Ctry>	Or	[1..1]		140
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		140
	TypeAndIdentification	<TpAndId>	Or	[1..1]		140
	Proprietary	<Prtry>	Or}	[1..1]		140

Used in element(s)

"[SafekeepingPlace](#)" on page 21







6.65.1 Identification


XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndText6_1](#)" on page 141 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		142
	Identification	<Id>		[0..1]		142

6.65.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "[CountryCode](#)" on page 199

6.65.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "[DTI2024Identifier](#)" on page 200







6.65.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndIdentification1](#)" on page 141 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		141
	Identification	<Id>		[1..1]		141







6.65.5 Proprietary


XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification78__1](#)" on page 97 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		97
	Identification	<Id>		[0..1]		97

6.66 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		141
	Identification	<Id>		[1..1]		141

Used in element(s)

"[TypeAndIdentification](#)" on page 140

6.66.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "[SafekeepingPlace1Code](#)" on page 230

6.66.2 Identification

XML Tag: Id







Presence: [1..1]


Definition: Place of safekeeping.

Datatype: "[AnyBICDec2014Identifier](#)" on page 155

6.67 SafekeepingPlaceTypeAndText6__1

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		142
	Identification	<Id>		[0..1]		142

Used in element(s)

"Identification" on page 139

6.67.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 230

6.67.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.







Datatype: "RestrictedFINXMax30Text" on page 228




Usage Guideline details

- on seev.033.001.14/AccountDetails/SafekeepingPlace/Identification/Identification
Type changed to: RestrictedFINXMax30Text on page 228

6.68 SecuritiesOption79__1

Definition: Specifies securities quantities for corporate action instruction.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ConditionalQuantity	<CondQty>		[0..1]		142
	InstructedQuantity	<InstdQty>		[1..1]		143
	AdditionalRoundUpQuantity	<AddtlRndUpQty>		[0..1]		144

Used in element(s)

"SecuritiesQuantity" on page 145

6.68.1 ConditionalQuantity

XML Tag: CondiQty

Presence: [0..1]

Definition: Minimum quantity of securities to be accepted (used in the framework of conditional privilege on election). In case of proration, if this minimum quantity is not reached then the instruction is void.

The **CondiQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice 1](#)" on page 77 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		77
	FaceAmount	<FaceAmt>	Or	[1..1]		81
	AmortisedValue	<AmtsdVal>	Or	[1..1]		85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		89

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/ConditionalQuantity](#)
 - **Annotation:** (empty)

6.68.2 InstructedQuantity

XML Tag: InstdQty

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

The **InstdQty** block contains the following elements (see datatype "[Quantity52Choice 1](#)" on page 133 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		133
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	Or	[1..1]		133
	Quantity	<Qty>	Or}	[1..1]		134

Usage Guideline details

- on [seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity](#)
 - **Coexistence Quantity Rule:**

During ISO 15022 - 20022 coexistence, Quantity length must not be greater than 15, including the decimal point. In this message subset, a restricted data type was implemented to comp

- on **seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/InstructedQuantity**

– **Market Practice Rule:**

Used as defined in message.

6.68.3 AdditionalRoundUpQuantity

XML Tag: AddtlRndUpQty

Presence: [0..1]

Definition: Quantity of additional shares requested due to the difference of "round-up against payment" practice between the account servicer and the account holder (for instance for French dividend option).

The **AddtlRndUpQty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice_1" on page 77 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	77
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	81
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		89

Usage Guideline details

- This element(**seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/SecuritiesQuantity/AdditionalRoundUpQuantity**) is removed.

6.69 SecuritiesQuantityOrAmount6Choice__1

Definition: Choice between securities quantities or an amount.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecuritiesQuantity	<SctiesQty>	{Or	[1..1]		145
	InstructedAmount	<InstdAmt>	Or}	[1..1]	🚫 ⚠	145

Used in element(s)

["SecuritiesQuantityOrInstructedAmount" on page 55](#)







6.69.1 SecuritiesQuantity




XML Tag: SctiesQty

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

The **SctiesQty** block contains the following elements (see datatype ["SecuritiesOption79__1" on page 142](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ConditionalQuantity	<CondQty>		[0..1]		142
	InstructedQuantity	<InstdQty>		[1..1]		143
	AdditionalRoundUpQuantity	<AddtlRndUpQty>		[0..1]		144

6.69.2 InstructedAmount

XML Tag: InstdAmt

Presence: [1..1]

Definition: Cash amount to be instructed.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 225](#)







Usage Guideline details



- This element([seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/InstructedAmount](#)) is removed.
- on [seev.033.001.14/CorporateActionInstruction/SecuritiesQuantityOrInstructedAmount/InstructedAmount](#)


Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 225](#)

6.70 SecurityIdentification19__1

Definition: Identification of a security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		146
	OtherIdentification	<OthrId>		[0..*]	 R[0..1] R[0..1]	147

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		148

Rules**R15 DescriptionPresenceRule ✓**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R16 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R17 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R18 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R19 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 70, "SecurityIdentification" on page 54

6.70.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 203

Usage Guideline details

- This element(seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/ISIN) is removed.
- This element(seev.033.001.14/CorporateActionInstruction/SecurityIdentification/ISIN) is removed.

6.70.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype "OtherIdentification1 1" on page 108 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	108
	Suffix	<Sfx>		[0..1]	🚫	109
	Type	<Tp>		[1..1]	⚠	109

Usage Guideline details

- on seev.033.001.14/CorporateActionInstruction/SecurityIdentification/OtherIdentification
This element has change repeatability, the maximum occurrence has been changed to : 1
- on seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification
This element has change repeatability, the maximum occurrence has been changed to : 1
- on seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification
 - **Market Practice Impelentation Rule:**

Optional field. Recommended by SMPG. If provided, it will be validated by the following rules: Only 1 security will be accepted. Only CUSIP will be accepted.

Must match with the value is original CANO. If not matched rejected with code DSEC.

6.70.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.







Datatype: ["RestrictedFINXMax140Text" on page 227](#)

Usage Guideline details

- This element([seev.033.001.14/CorporateActionInstruction/SecurityIdentification/Description](#)) is removed.
- This element([seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description](#)) is removed.
- on [seev.033.001.14/CorporateActionInstruction/SecurityIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text on page 227](#)
- on [seev.033.001.14/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text on page 227](#)

6.71 SignedQuantityFormat10__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		148
	Quantity	<Qty>		[1..1]		149

Used in element(s)

["EligibleBalance" on page 29](#), ["NotEligibleBalance" on page 29](#), ["SignedQuantity" on page 132](#)

6.71.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 231](#)







6.71.2 Quantity




XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.







The **Qty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice 1](#)" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		77
	FaceAmount	<FaceAmt>	Or	[1..1]		81
	AmortisedValue	<AmtsdVal>	Or	[1..1]		85
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		89

6.72 SignedQuantityFormat11__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		149
	QuantityChoice	<QtyChc>		[1..1]		149

Used in element(s)

"Balance" on page 29

6.72.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "[ShortLong1Code](#)" on page 231

6.72.2 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "[Quantity48Choice_1](#)" on page 130 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		130
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		130

6.73 SupplementaryData1__1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]	🚫	150
	Envelope	<Envlp>		[1..1]	⚠	151

Rules

R30 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"[SupplementaryData](#)" on page 17

6.73.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "[Max350Text](#)" on page 213

Usage Guideline details

- This element([seev.033.001.14/SupplementaryData/PlaceAndName](#)) is removed.

6.73.2 Envelope

XML Tag: Envp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

Usage Guideline details

- on [seev.033.001.14/SupplementaryData/Envelope](#)

Type changed to: page

7 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

7.1 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Rules

R25 ActiveCurrency ✓

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00005
- *Error Text:* Invalid currency code

Used in element(s)

"CurrencyOption" on page 54, "CurrencyToBuy" on page 53, "CurrencyToSell" on page 54

Used in attribute(s)

"RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 224, "RestrictedFINActiveCurrencyAndAmount" on page 225

Restricts

"ParentCurrencyCode" on page 216

7.2 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Rules**R14 ActiveOrHistoricCurrency ✓**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00006
- *Error Text:* Invalid currency code.

Used in element(s)

["DenominationCurrency" on page 72](#)

Restricts

["ParentCurrencyCode" on page 216](#)

7.3 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

["AddressType" on page 117](#)

Restricts

["AddressTypeCode" on page 153](#)

7.4 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

"AddressType2Code" on page 153

7.5 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount. Usage Guideline restrictions for this code <ul style="list-style-type: none"> This code(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceived-PerProduct/AmountPrice/Amount-PriceType/Discount) is removed.
PLOT	Lot	Price expressed as an amount of money per lot. Usage Guideline restrictions for this code <ul style="list-style-type: none"> This code(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceived-PerProduct/AmountPrice/Amount-PriceType/Lot) is removed.

Code	Name	Definition
PREM	Premium	<p>Price expressed as a premium.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceived-PerProduct/AmountPrice/Amount-PriceType/Premium) is removed.

Used in element(s)

"AmountPriceType" on page 25, "AmountPriceType" on page 27, "AmountPriceType" on page 26

Restricts

"AmountPriceTypeCode" on page 155

7.6 AmountPriceTypeCode

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

Is restricted by

"AmountPriceType1Code" on page 154

7.7 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules**R7 AnyBIC ✓**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

"AnyBIC" on page 110, "AnyBIC" on page 111, "Identification" on page 141

7.8 BaseOne14Rate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

"NextFactor" on page 75, "PreviousFactor" on page 75

7.9 BeneficiaryCertificationType5Code

Definition: Specifies the type of certification required.

Type: CodeSet

Code	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

Used in element(s)

"Code" on page 30

Restricts

"BeneficiaryCertificationTypeCode" on page 157

7.10 BeneficiaryCertificationTypeCode

Definition: The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

Type: CodeSet

Code	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NARR	NarrativeDescription	See narrative field for certification requirements.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.

Is restricted by

"BeneficiaryCertificationType5Code" on page 156

7.11 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Note: this identifier also supports the updated versions of the standard, which were published in 2019 and 2021 (ISO 10962 :2019 and ISO 10962:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{6,6}

Used in element(s)

"ClassificationFinancialInstrument" on page 31

7.12 CorporateActionChangeType2Code

Definition: Specifies the type of changes.

Type: CodeSet

Code	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

Used in element(s)

"Code" on page 38

Restricts

["CorporateActionCode" on page 159](#)

7.13 CorporateActionCode

Definition: Specifies the type of changes.

Type: CodeSet

Code	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

Is restricted by

["CorporateActionCode2Code" on page 158](#)

7.14 CorporateActionEventType40Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have

Code	Name	Definition
		<p>been distributed to investors for tax purposes.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Accumulation) is removed.
ACTV	ActiveTradingStatus	<p>Trading in the security has commenced or security has been re-activated after a suspension in trading.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/ActiveTradingStatus) is removed.
ATTI	Attachment	<p>Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Attachment) is removed.
BIDS	RepurchaseOffer	<p>Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer <p>Comment:</p>

Code	Name	Definition
		Identifies a tender offer event in which the holder can choose the price at which they are willing to tender their securities. This price may or may not be accepted by the offeror.
BONU	BonusIssue	<p>Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/BonusIssue) is removed.
BPUT	PutRedemption	<p>Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/PutRedemption <ul style="list-style-type: none"> – Annotation: (empty) • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/PutRedemption <p>Comment: (empty)</p>
BRUP	Bankruptcy	<p>Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Bankruptcy

Code	Name	Definition
		<p>– Annotation: (empty)</p>
CAPD	CapitalDistribution	<p>Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution) is removed.
CAPG	CapitalGainsDistribution	<p>Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution) is removed.
CAPI	Capitalisation	<p>Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Capitalisation) is removed.
CERT	NonUSTEFRADCertification	<p>Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/NonUSTE-FRADCertification) is removed.
CHAN	Change	<p>Information regarding a change further described in the corporate action details.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Change) is removed.
CLSA	ClassActionProposedSettlement	<p>Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/ClassActionProposedSettlement) is removed.
CONS	Consent	<p>Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Consent <ul style="list-style-type: none"> – Annotation: (empty) • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Consent <p>Comment:</p>

Code	Name	Definition
		Solicitation to security holders for their agreement (consent) to proposed changes in the terms of the security, usually without a formal general meeting. A fee may be paid to the security holder.
CONV	Conversion	<p>Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Conversion <ul style="list-style-type: none"> – Annotation: (empty) • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Conversion <p>Comment: Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price or rate.</p>
COOP	CompanyOption	<p>Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/CompanyOption) is removed.
CREV	CreditEvent	<p>Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/CreditEvent) is removed.
DECR	DecreaseInValue	<p>Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/DecreaseInValue) is removed.
DETI	Detachment	<p>Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Detachment) is removed.
DFLT	BondDefault	<p>Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/BondDefault) is removed.
DLST	TradingStatusDelisted	<p>Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/TradingStatusDelisted) is removed.
DRAW	Drawing	<p>Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Drawing) is removed.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	<p>Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales) is removed.

Code	Name	Definition
DRIP	DividendReinvestment	<p>Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment) is removed.
DSCL	Disclosure	<p>Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Disclosure) is removed.
DTCH	DutchAuction	<p>Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/DutchAuction <ul style="list-style-type: none"> – Annotation: (empty) • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/DutchAuction <p>Comment: Identifies a tender event in which the corporation offers to purchase up to a certain amount of securities within a price range. Holders must submit a specific price within</p>

Code	Name	Definition
		that range that they would be willing to accept. The actual tender price is not determined until the end of the offer.
DVCA	CashDividend	<p>Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/CashDividend) is removed.
DVOP	DividendOption	<p>Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/DividendOption) is removed.
DVSC	ScripDividend	<p>Dividend or interest paid in the form of scrip.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/ScripDividend) is removed.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/StockDividend) is removed.
EXOF	Exchange	<p>Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Exchange <ul style="list-style-type: none"> – Annotation: (empty) • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Exchange <p>Comment: (empty)</p>
EXRI	CallOnIntermediateSecurities	<p>Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities <p>Comment: A privilege granted to holders of rights to purchase new or additional securities. Rights are often tradable in a secondary market.</p>

Code	Name	Definition
EXTM	MaturityExtension	<p>As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/MaturityExtension) is removed.
EXWA	WarrantExercise	<p>Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a pre-determined period of time (which usually corresponds to the life of the issue).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/WarrantExercise <ul style="list-style-type: none"> – Annotation: (empty) • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/WarrantExercise <p>Comment: A feature of a security that permits the holder to exercise an option to exchange the security into another form (usually, warrants into shares). The exercise will commonly require a payment based upon a pre-determined value and time.</p>
INCR	IncreaseInValue	<p>Increase in the face value of a single security. The number of circulating securities remains unchanged.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/IncreaseIn-Value) is removed.
INTR	InterestPayment	<p>Interest payment distributed to holders of an interest bearing asset.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/InterestPayment) is removed.
LIQU	LiquidationDividend	<p>Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend) is removed.
MCAL	FullCall	<p>Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/FullCall) is removed.
MRGR	Merger	<p>Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Merger <ul style="list-style-type: none"> – Annotation: (empty) • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Merger <p>Comment: The exchange of one company's security for another company's security, cash, or a combination of cash and securities.</p>
NOOF	NonOfficialOffer	<p>Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer <ul style="list-style-type: none"> – Annotation: (empty)
ODLT	OddLotSalePurchase	<p>Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase <ul style="list-style-type: none"> – Annotation: (empty) • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase

Code	Name	Definition
		Comment: Identifies a tender offer event made by the corporation or its agent to purchase shares from odd-lot shareholders. An odd-lot shareholder typically refers to a holder of less than 100 shares of stock.
OTHR	OtherEvent	<p>Other event, use only when no other event type applies, for example, a new event type.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/OtherEvent <ul style="list-style-type: none"> Annotation: (empty) on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): Distribution</p>
PARI	PariPassu	<p>Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/PariPassu) is removed.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithoutPoolFactorReduction) is removed.
PDEF	Prefunding	<p>Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Prefunding) is removed.
PINK	PayInKind	<p>Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/PayInKind) is removed.
PLAC	PlaceOfIncorporation	<p>Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation) is removed.

Code	Name	Definition
PPMT	InstalmentCall	<p>Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/InstalmentCall) is removed.
PRED	PartialRedemptionWithPoolFactorReduction	<p>Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction) is removed.
PRIO	PriorityIssue	<p>Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/PriorityIssue) is removed.
REDM	FinalMaturity	<p>Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/FinalMaturity

Code	Name	Definition
		Synonym (Redemption of Rights): DTCC
REDO	Redenomination	<p>Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Redenomination) is removed.
REMK	RemarketingAgreement	<p>Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/RemarketingAgreement) is removed.
RHDI	IntermediateSecuritiesDistribution	<p>Distribution of intermediate securities that gives the holder the right to take part in a future event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution) is removed.
RHTS	RightsIssue	<p>Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/RightsIssue) is removed.
SHPR	SharesPremiumDividend	<p>Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/SharesPremiumDividend) is removed.
SMAL	SmallestNegotiableUnit	<p>Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/SmallestNegotiableUnit) is removed.
SOFF	SpinOff	<p>Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/SpinOff) is removed.
SPLF	StockSplit	<p>Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split.</p>

Code	Name	Definition
		<p>Equity price and nominal value are reduced accordingly.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/StockSplit) is removed.
SPLR	ReverseStockSplit	<p>Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit <ul style="list-style-type: none"> – Annotation: (empty)
SUSP	TradingStatusSuspended	<p>Trading in the security has been suspended.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/TradingStatusSuspended) is removed.
TEND	Tender	<p>Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Tender <ul style="list-style-type: none"> – Annotation: (empty)

Code	Name	Definition
		<ul style="list-style-type: none"> on seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Tender <p>Comment: An offer made to security holders, normally by a third party, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices). Generally, the objective of a tender offer is to take control of the target company.</p>
TREC	TaxReclaim	<p>Event related to tax reclaim activities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/TaxReclaim) is removed.
WRTH	Worthless	<p>Booking out of valueless securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/Worthless) is removed.
WTRC	WithholdingTaxReliefCertification	<p>Certification process for withholding tax reduction or exemption based on the tax status of the holder.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.033.001.14/CorporateActionGeneralInformation/EventType/Code/WithholdingTaxReliefCertification) is removed.

Used in element(s)

"Code" on page 41

Restricts

"CorporateActionEventTypeV7Code" on page 180

7.15 CorporateActionEventTypeV7Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains

Code	Name	Definition
		distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CMET	CourtMeeting	Announcement of a meeting at a Court.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CPST	CouponStripping	Coupon stripping is the process whereby interest coupons for future payment dates are separated from the security corpus that entitles the holder to the principal repayment.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial

Code	Name	Definition
		obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.

Code	Name	Definition
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
ERED	EarlyRedemption	<p>This includes drawing, partial and full call, put.</p> <p>Redemption in part or full before the scheduled final maturity date of a security, subject to the terms and conditions of the issue.</p> <p>Drawing - Securities are redeemed in part by lottery.</p> <p>Partial Call - Securities are redeemed in part by reducing proportionally the outstanding amount of securities.</p> <p>Put - Early redemption of a bond at the election of the bondholder.</p> <p>Full Call - The entire outstanding of a security is redeemed by the issuer.</p>
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An is-

Code	Name	Definition
		suer initiated extension may be subject to holder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
MEET	AnnualGeneralMeeting	Meeting Annual general meeting.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OMET	OrdinaryGeneralMeeting	Ordinary general meeting.

Code	Name	Definition
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PRII	InterestPaymentWithPrincipal	Event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

Code	Name	Definition
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
RMRK	Remarketing	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
SUBS	Subscription	Ability for security holders to purchase (additional or new) securities at a certain price, in proportion to their holding.

Code	Name	Definition
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
XMET	ExtraordinaryGeneralMeeting	Extraordinary or Special General Meeting. Extraordinary or special general meeting.

Is restricted by

"CorporateActionEventType40Code" on page 159

7.16 CorporateActionOption16Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	<p>Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.</p> <p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p>
BOBD	BeneficialOwnerBreakdownRequest	<p>Breakdown of beneficial ownership required for withholding tax reclaim event.</p> <div style="background-color: #ffffcc; padding: 10px;"> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/BeneficialOwnerBreakdownRequest) is removed. </div>
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different

Code	Name	Definition
		<p>tax implications (typically found in Australia).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/BonusSharePlan) is removed.
BUYA	BuyUp	<p>Buy additional securities to round up position.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/BuyUp) is removed.
CASE	CashAndSecurity	<p>Option to choose between different security and cash options.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/CashAndSecurity <p>Synonym (DTCC): Cash And Securities</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/CashAndSecurity <p>Comment: Holder to receive a combination of cash and securities.</p>
CASH	Cash	<p>Option to choose cash.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Cash <p>Synonym (DTCC): Foreign Tax Exempt</p>

Code	Name	Definition
		<ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment Favourable • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Cash Synonym (DTCC): Cash • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment Unfavourable • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment Exempt • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Cash Synonym (DTCC): Foreign Tax Unfavourable • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Cash Comment: Cash: holder to receive cash. Foreign Tax Unfavourable/Favourable/Exempt: DTC only option. The event and security are eligible for Foreign Tax Relief service at DTC. Option to select Unfavourable/Favourable/Exempt respectively for this service. Foreign Currency Payment: DTC only option. The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive

Code	Name	Definition
		<p>their distribution in the foreign currency instructed.</p> <p>Foreign Currency Payment Unfavourable/Favourable/Exempt: The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency and the tax withholding on that distribution will be subject to Unfavourable/Favourable/Exempt tax withholding respectively.</p>
CERT	Certification	<p>Certification is provided.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Certification) is removed.
CEXC	ConsentAndExchange	<p>Vote to consent to change the terms of the securities agreement and to exchange securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/ConsentAndExchange <p>Synonym (DTCC): Consent And Exchange</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/ConsentAndExchange <p>Comment: Holder to submit securities for exchange in addition to voting to approve the proposal.</p>
CONN	ConsentDenied	Vote not to approve the event or proposal.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/ConsentDenied <p>Synonym (DTCC): Consent Denied</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/ConsentDenied <p>Comment: Holder to not to approve the proposal.</p>
CONY	ConsentGranted	<p>Vote to approve the event or proposal.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/ConsentGranted <p>Synonym (DTCC): Consent Granted</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/ConsentGranted <p>Comment: Holder to approve the proposal.</p>
CTEN	ConsentAndTender	<p>Vote to consent to change the terms of the securities agreement and to tender securities for cash.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/ConsentAndTender <p>Synonym (DTCC): Consent And Tender</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/ConsentAndTender <p>Comment:</p>

Code	Name	Definition
		Holder to submit securities for tender in addition to voting to approve the proposal.
EXER	Exercise	<p>Exercise intermediate securities or warrants.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Exercise Synonym (DTCC): Subscribe • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Exercise Synonym (DTCC): Exercise • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Exercise Comment: Exercise: holder to exercise the intermediate security or warrant. Subscribe: holder to exercise their right to subscribe to additional securities based upon the existing position.
LAPS	Lapse	<p>Allow event or entitled security to expire.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Lapse) is removed.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/MarkDown) is removed.
MKUP	MarkUp	<p>Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/MarkUp) is removed.
MPUT	Retain	<p>Option that allows a holder to elect to retain their holding, for example, a putable bond.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Retain <p>Synonym (DTCC): Retain</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Retain <p>Comment: Holder to keep/retain their existing security rather than receive a new security.</p>
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/NonQualifiedInvestor) is removed.
OFFR	ProposedRate	<p>In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/ProposedRate) is removed.
OTHR	Other	<p>Generic corporate action option to be used in case that no other specific code is appropriate.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Other <ul style="list-style-type: none"> – DTCC Other Option Type Rule: <p style="margin-left: 40px;">Identifies option type that do not have ISO equivalent.</p> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Other <p>Synonym (DTCC): Other</p>
OVER	Oversubscribe	<p>Subscribe to more equities than underlying securities position allows.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Oversubscribe

Code	Name	Definition
		<p>Synonym (DTCC): Subscribe And Oversubscribe</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Oversubscribe <p>Comment: Holder to subscribe to more securities than underlying securities position allows.</p>
PRUN	UnknownProceeds	<p>Proceeds not known during election period. The option can result in cash and/or securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/UnknownProceeds <p>Synonym (DTCC): Convert</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/UnknownProceeds <p>Comment: Holder elects to convert security into a new security, cash or combination of cash and securities, without knowledge of the actual outcome.</p>
QINV	QualifiedInvestor	<p>Account owner is a qualified investor.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/QualifiedInvestor) is removed.
SECU	Security	<p>Distribution of securities to holders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Security <p>Synonym (DTCC): DRIP Favorable</p>

Code	Name	Definition
		<ul style="list-style-type: none"> • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Security Synonym (DTCC): DRIP Unfavorable • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Security Synonym (DTCC): DRIP • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Security Synonym (DTCC): DRIP Exempt • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Security Synonym (DTCC): Securities • on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/Security Comment: Securities: holder to receive securities. DRIP: holder to receive additional securities instead of the cash distribution. Option may be declared by the issuer or a service offered by DTC. DRIP Unfavourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at unfavourable rate. DRIP Favourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at Favourable rate. DRIP Exempt: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and

Code	Name	Definition
		the tax withholding on those shares will be at exempt rate.
SLLE	SellEntitlement	<p>Sell the intermediate securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/SellEntitlement <p>Synonym (DTCC): Sell</p> <ul style="list-style-type: none"> on seev.033.001.14/CorporateActionInstruction/Option-Type/Code/SellEntitlement <p>Comment: Holder to sell their security.</p>
TAXI	TaxInstruction	<p>Tax instruction.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.033.001.14/CorporateActionInstruction/Option-Type/Code/TaxInstruction) is removed.

Used in element(s)

"Code" on page 58

Restricts

"CorporateActionOptionCode" on page 197

7.17 CorporateActionOptionCode

Definition: Specifies the type of corporate action options offered to the account holder for a corporate action.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	<p>Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.</p> <p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p>

Code	Name	Definition
AMGT	VoteAgainstManagement	Vote against management.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MNGT	VoteWithManagement	Vote with management.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.

Code	Name	Definition
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PROX	ProxyCard	Issue a proxy card in case of voting.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, for example, a split voting instruction on a meeting.
TAXI	TaxInstruction	Tax instruction.

Is restricted by

"CorporateActionOption16Code" on page 187

7.18 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R6 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

["Country" on page 118](#), ["Country" on page 140](#), ["Country" on page 24](#), ["Country" on page 23](#), ["Domicile-Country" on page 114](#), ["NonDomicileCountry" on page 114](#)

7.19 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

Used in element(s)

["DigitalLedgerIdentification" on page 140](#)

7.20 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern [0-9]{3}

Used in element(s)

["Number" on page 105](#), ["ShortNumber" on page 68](#)

7.21 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern [a-zA-Z0-9]{4}

Used in element(s)

["Identification" on page 91](#), ["QuantityType" on page 123](#), ["QuantityType" on page 126](#)

7.22 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

["Code" on page 98](#)

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 201](#)

7.23 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

["ExternalFinancialInstrumentIdentificationType1Code" on page 200](#)

7.24 FractionDispositionType10Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.

Code	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
EXPI	Expire	Let fractions expire worthless.

Used in element(s)

"Code" on page 90

Restricts

"FractionDispositionTypeV2Code" on page 202

7.25 FractionDispositionTypeV2Code

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
EXPI	Expire	Let fractions expire worthless.
FULL	Full	Pre-allocate shares only if fully dealt.
NOFR	NoFraction	No fractional shares are allowed.
PROR	ProRate	In case of a partial fill, pro-rate the allocations.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
RETA	Retain	Indicates that fractional value should be retained; no rounding.
SPEC	Specific	Pre-allocate according to the amounts shown in a linked allocation instruction.
SSTD	Standard	Indicates that if the fraction is greater than or equal to 0.5 of the value should be rounded up; otherwise rounded down.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
TALK	DiscussFirst	Do not pro-rate; discuss first.

Code	Name	Definition
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

Is restricted by

"FractionDispositionType10Code" on page 201

7.26 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

"ISIN" on page 146

7.27 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

Used in element(s)

"LongNumber" on page 68

7.28 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

"ConversionDate" on page 74, "Date" on page 64, "DatedDate" on page 74, "ExpiryDate" on page 72, "FloatingRateFixingDate" on page 73, "IssueDate" on page 73, "MaturityDate" on page 73, "NextCallableDate" on page 73, "NextCouponDate" on page 72, "ProtectDate" on page 129, "PutableDate" on page 74

7.29 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"DateTime" on page 64

7.30 InterestComputationMethod2Code

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

Code	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-

Code	Name	Definition
		day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the

Code	Name	Definition
		coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb

Code	Name	Definition
		when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

Used in element(s)

"Code" on page 101

Restricts

"InterestComputationMethodCode" on page 207

7.31 InterestComputationMethodCode

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

Code	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started

Code	Name	Definition
		on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the

Code	Name	Definition
		same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the

Code	Name	Definition
		same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
A015	ActualActualUltimo	Method whereby interest is calculated based on the actual number of days in the coupon period divided by the actual number of days in the year. This method is a variation of the ActualActualICMA method with the exception that it assumes that the coupon always falls on the last day of the month. Method equal to ACT/ACT.ISMA in the FpML model and Act/Act (ICSMA Ultimo) in the FixML model.
A016	IC30EPlus360	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or

Code	Name	Definition
		29 Feb for a leap year) is assumed to be equivalent to 30 Feb. This method is a variation of the 30E360 method with the exception that if the coupon falls on the last day of the month, change it to 1 and increase the month by 1 (ie next month). Method equal to ThirtyEPlusThreeSixty in the FixML model.
A017	Actual364	Method whereby interest is calculated based on the actual number of accrued days in the interest period divided by 364. Method equal to Act364 in the FixML model.
A018	Business252	Method whereby interest is calculated based on the actual number of business days in the interest period divided by 252. Usage: Brazilian Currency Swaps. Method equal to BUS/252 in the FpML model and BusTwoFiftyTwo in the FixML model.
A019	Actual360NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 360-day year.
A020	OneOne	Also named 1/1. ELABORATION: If parties specify the Day Count Fraction to be 1/1 then in calculating the applicable amount, 1 is simply input into the calculation as the relevant Day Count Fraction. See also 2006 ISDA Definitions, Section 4.16. Day Count Fraction, paragraph (a).
NARR	Narrative	Other method than A001-A020. See Narrative.
OTHR	Other	Any other interest computation method.

Is restricted by

"InterestComputationMethod2Code" on page 204

7.32 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern	[A-Z0-9]{18,18}[0-9]{2,2}
---------	---------------------------

Used in element(s)

"LEI" on page 112, "LEI" on page 24

7.33 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Format

pattern	[A-Z0-9]{4,4}
---------	---------------

Used in element(s)

"MarketIdentifierCode" on page 102

7.34 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 117, "PostCode" on page 117, "Suffix" on page 109

7.35 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

"DigitalTokenUnit" on page 89

7.36 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

"PlaceAndName" on page 150

7.37 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

"AlternateIdentification" on page 25, "CountrySubDivision" on page 118, "TownName" on page 117

7.38 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

"Issuer" on page 91, "Issuer" on page 95, "Issuer" on page 96, "Issuer" on page 123, "Issuer" on page 126, "SchemeName" on page 92, "SchemeName" on page 95, "SchemeName" on page 96, "SchemeName" on page 124, "SchemeName" on page 127

7.39 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

"AddressLine" on page 117, "StreetName" on page 117

7.40 OptionFeatures12Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

Code	Name	Definition
OPLF	OddLotPreference	<p>Tender or exchange with the odd lot preference.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.033.001.14/Corporate-ActionInstruction/OptionFeatures/Code/OddLotPreference <ul style="list-style-type: none"> – Annotation: (empty) • on seev.033.001.14/Corporate-ActionInstruction/OptionFeatures/Code/OddLotPreference <p>Synonym (DTCC): Odd Lot Priority Flag</p>

Used in element(s)

"Code" on page 104

Restricts

"OptionFeaturesCode" on page 214

7.41 OptionFeaturesCode

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

Code	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.

Code	Name	Definition
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

Is restricted by

"OptionFeatures12Code" on page 214

7.42 OptionNumber1Code

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

Code	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

Used in element(s)

"Code" on page 105

Restricts

"OptionNumberCode" on page 216

7.43 OptionNumberCode

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

Code	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

Is restricted by

"OptionNumber1Code" on page 216

7.44 ParentCurrencyCode

Definition: Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

Type: CodeSet

Format

pattern [A-Z]{3,3}

Is restricted by

"ActiveCurrencyCode" on page 152, "ActiveOrHistoricCurrencyCode" on page 152

7.45 Percentage14Rate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

"InterestRate" on page 75, "NextInterestRate" on page 76, "PriceValue" on page 116, "ProposedRate" on page 62, "Rate" on page 136, "Rate" on page 137, "Rate" on page 135, "Rate" on page 139

7.46 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

Code	Name	Definition
DISC	Discount	<p>Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceived-PerProduct/PercentagePrice/PercentagePriceType/Discount) is removed.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	<p>Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceived-PerProduct/PercentagePrice/PercentagePriceType/Premium) is removed.

Code	Name	Definition
YIEL	Yield	<p>Price expressed as a yield.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceived-PerProduct/PercentagePrice/PercentagePriceType/Yield) is removed.

Used in element(s)

"PercentagePriceType" on page 116

Restricts

"PriceValueTypeCode" on page 219

7.47 PriceValueType9Code

Definition: Specifies a type of price value.

Type: CodeSet

Code	Name	Definition
TBSP	ToBeSpecified	<p>Price to be specified by account owner.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceived-PerProduct/NotSpecifiedPrice/ToBeSpecified) is removed.
UKWN	Unknown	<p>Price is unknown by the sender or has not been established.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.033.001.14/CorporateActionInstruction/PriceDetails/GenericCashPriceReceived-PerProduct/NotSpecifiedPrice/Unknown) is removed.

Code	Name	Definition
UNSP	Unspecified	Price is not required to be specified by account owner.

Used in element(s)

"NotSpecifiedPrice" on page 120

Restricts

"PriceValueTypeCode" on page 219

7.48 PriceValueTypeCode

Definition: Price will not be paid.

Type: CodeSet

Code	Name	Definition
ABSO	Absolute	Price is expressed as absolute.
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
NEGA	NegativeActualAmount	Price must be interpreted as a negative amount of currency per unit or per share.
NILP	NilPayment	Price will not be paid.
OPEN	OpenDated	Price has not been established.
PARV	Par	Price is the face amount.
PEUN	PerUnit	Price expressed per unit.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
SPRE	Spread	Difference between a market maker's bid and asked price.
TBSP	ToBeSpecified	Price to be specified by account owner.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.

Code	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
YIEL	Yield	Price expressed as a yield.

Is restricted by

["PriceRateType3Code" on page 217](#), ["PriceValueType9Code" on page 218](#)

7.49 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Used in element(s)

["Code" on page 122](#)

Restricts

["ProcessingPositionCode" on page 220](#)

7.50 ProcessingPositionCode

Definition: Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Is restricted by

"ProcessingPosition3Code" on page 220

7.51 ProtectTransactionType2Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

Code	Name	Definition
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

Used in element(s)

"TransactionType" on page 129

Restricts

"ReorganisationTransactionTypeCode" on page 224

7.52 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

Used in element(s)

"Code" on page 133

Restricts"QuantityCode" on page 222

7.53 QuantityCode

Definition: Quantity is unknown by the sender or has not been established.*Type:* CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.
OPEN	Open	Quantity has not been established.
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Is restricted by"Quantity1Code" on page 221

7.54 RateTypeCode

Definition: Any and all rate is sought.*Type:* CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
ATAX	AdditionalTax	Rate used for additional tax that cannot be categorised.
CHAR	Charges	Rate used to calculate the amount of the charges/fees that cannot be categorised.
CINL	CashInLieuOfSecurities	Rate used to calculate the cash disbursement in lieu of a fractional quantity of, for example, equity.
FIXE	Fixed	Rate is fixed.
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
GRSS	Gross	Cash dividend per equity before deductions or allowances have been made.
IMPU	Imputed	Imputed tax.
INCE	CashIncentive	Rate of the cash premium made available if the securities holder consents or participates to an event, for example, consent fees.
JLID	IssuerDiscretion	Indicates that there will not be a uniform proration rate that applies to all instructions or accounts.

Code	Name	Definition
LIDT	LocalTax	Interest liable for interest down payment tax (proportion of gross interest per unit/ interim profits that is not covered by the credit in the interest pool).
NETT	Net	Relates to the netting of settlement instructions.
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
PREC	Precompte	Rate is a precompte.
SCHD	Scheduled	Rate of the scheduled payment.
SOFE	Sollicitation	Cash rate made available in an offer in order to encourage participation in the offer.
STAM	StampDuty	Financial instrument has not been stamped and/or duly signed.
STEX	StockExchangeTax	Rate of stock exchange tax.
TAXR	WithholdingTax	Relates to a tax refund from the authorities on the associated corporate action event.
TIER	OneTierTax	Rate is a one tier tax.
TRAN	TransferTax	Transaction has been generated due to transformation following a corporate action.
TRAX	TransactionTax	Rate used to calculate the amount of transaction tax.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFeeAmount	Rate relating to the underlying security which is not taxable.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
USCD	Unscheduled	Rate of the unscheduled payment.
VARI	Variable	Rate is variable.
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

Is restricted by

"RateValueType7Code" on page 224

7.55 RateValueType7Code

Definition: Specifies the value of a rate.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

"NotSpecifiedRate" on page 136

Restricts

"RateTypeCode" on page 222

7.56 ReorganisationTransactionTypeCode

Definition: Specifies the type of reorganisation transaction.

Type: CodeSet

Code	Name	Definition
COVA	CoverProtectDirectlyToAgentHeader-Transaction	Reorganisation is a cover protect directly to agent transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.
PROP	ProtectOnBehalfOfAnotherParticipant-Transaction	Reorganisation is a protect on behalf of another participant transaction type.
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
VOIT	VoluntaryOfferInstructionTransaction	Reorganisation is a voluntary offer instruction transaction type.

Is restricted by

"ProtectTransactionType2Code" on page 221

7.57 RestrictedFINActiveCurrencyAnd13Decimal-Amount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 152

Format

fractionDigits	13
minInclusive	0
totalDigits	14

Used in element(s)

"Amount" on page 136, "Amount" on page 137, "Amount" on page 135, "Amount" on page 27, "Price-Value" on page 25, "PriceValue" on page 28, "PriceValue" on page 26

7.58 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 152

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Rules

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The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00007
- *Error Text:* Invalid currency code or too many decimal digits

Used in element(s)

"InstructedAmount" on page 145

7.59 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	14
totalDigits	14

Used in element(s)

"IndexPoints" on page 121, "Quantity" on page 123, "Quantity" on page 124, "Unit" on page 77

7.60 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must has a pattern XX|TS.

Type: Text

Format

length	2
pattern	XX TS

Used in element(s)

"Proprietary" on page 98

7.61 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Used in element(s)

"AmortisedValue" on page 85, "AmortisedValue" on page 107, "AmortisedValue" on page 106, "FaceAmount" on page 81, "FaceAmount" on page 107, "FaceAmount" on page 106

7.62 RestrictedFINMax15Text

Definition: Specifies a character string with a maximum length of 15 characters.

Type: Text

Format

maxLength	15
minLength	1

Used in element(s)

"TransactionIdentification" on page 129

7.63 RestrictedFINMax35Text

Definition: Specifies a character string with a maximum length of 35 characters. It has a pattern `([^\+]+)/+([^\+])|([^\+]*)` that disables the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	35
minLength	1
pattern	<code>([^\+]+)/+([^\+]) ([^\+]*)</code>

Used in element(s)

"ProtectSafekeepingAccount" on page 129

7.64 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	140
minLength	1
pattern	<code>[0-9a-zA-Z/\-?\:\(\)\.\n\r,\"+]{1,140}</code>

Used in element(s)

"BlockchainAddressOrWallet" on page 20, "Description" on page 148, "Name" on page 103

7.65 RestrictedFINXMax16Text

Definition: Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	16
minLength	1
pattern	<code>(([0-9a-zA-Z\-\?\:\(\)\.,\'+]([0-9a-zA-Z\-\?\:\(\)\.,\'+]*([0-9a-zA-Z\-\?\:\(\)\.,\'+])?)*))</code>

Used in element(s)

"AccountOwnerDocumentIdentification" on page 67, "AccountServicerDocumentIdentification" on page 67, "CorporateActionEventIdentification" on page 43, "Identification" on page 64, "LinkedCorporateActionIdentification" on page 39, "LinkedOfficialCorporateActionEventIdentification" on page 39, "OfficialCorporateActionEventIdentification" on page 44

7.66 RestrictedFINXMax25Text

Definition: Specifies a character string with a maximum length of 24 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	25
minLength	1
pattern	<code>(([0-9a-zA-Z\-\?\:\(\)\.,\'+]([0-9a-zA-Z\-\?\:\(\)\.,\'+]*([0-9a-zA-Z\-\?\:\(\)\.,\'+])?)*))</code>

Used in element(s)

"ShareholderNumber" on page 57

7.67 RestrictedFINXMax30Text

Definition: Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	30
minLength	1
pattern	<code>(([0-9a-zA-Z\-\?\:\(\)\.,\'+]([0-9a-zA-Z\-\?\:\(\)\.,\'+]*([0-9a-zA-Z\-\?\:\(\)\.,\'+])?)*))</code>

Used in element(s)

["AlternateIdentification" on page 23](#), ["Description" on page 102](#), ["Identification" on page 94](#), ["Identification" on page 142](#), ["Identification" on page 97](#)

7.68 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	31
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.,'+]{1,31}

Used in element(s)

["Identification" on page 108](#)

7.69 RestrictedFINXMax34Text

Definition: Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "//" within the line.

Type: Text

Format

maxLength	34
minLength	1
pattern	(([0-9a-zA-Z/\-?:(\)\.,'+] ([0-9a-zA-Z/\-?:(\)\.,'+])*([0-9a-zA-Z/\-?:(\)\.,'+])?*))

Used in element(s)

["Identification" on page 96](#)

7.70 RestrictedFINXMax350Text

Definition: Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	350
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.,\n\r,'+]{1,350}

Used in element(s)

["CertificationBreakdown" on page 115](#), ["CertificationBreakdown" on page 47](#), ["DeliveryDetails" on page 48](#), ["ForeignExchangeInstructionsAdditionalInformation" on page 48](#), ["InformationToComplyWith" on page 48](#), ["InstructionAdditionalInformation" on page 49](#), ["PartyContactNarrative" on page 47](#), ["RegistrationDetails" on page 46](#)

7.71 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	35
minLength	1
pattern	[0-9a-zA-Z/\-!\?:\(\)\.,\'+]{1,35}

Used in element(s)

["SafekeepingAccount" on page 20](#)

7.72 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 141](#)

Restricts

["SafekeepingPlaceCode" on page 231](#)

7.73 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

"SafekeepingPlaceType" on page 142

Restricts

"SafekeepingPlaceCode" on page 231

7.74 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

"SafekeepingPlace1Code" on page 230, "SafekeepingPlace2Code" on page 230

7.75 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.

Code	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.

Used in element(s)

"ShortLongPosition" on page 107, "ShortLongPosition" on page 148, "ShortLongPosition" on page 123, "ShortLongPosition" on page 149

Restricts

"ShortLongCode" on page 232

7.76 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

"ShortLong1Code" on page 231

7.77 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)["Code" on page 99](#)**Restricts**["TypeOfIdentificationCode" on page 233](#)

7.78 TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by["TypeOfIdentification1Code" on page 232](#)

7.79 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.

Code	Name	Definition
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Used in element(s)

"Code" on page 138

Restricts

"WithholdingTaxRateTypeCode" on page 234

7.80 WithholdingTaxRateTypeCode

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Is restricted by

"WithholdingTaxRateType1Code" on page 233

7.81 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"EligibleForCollateralIndicator" on page 53, "SolicitationDealerFeeIndicator" on page 53

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